

# The Quintet

Poisson–Mellin–Newton–Rice–Laplace

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CNRS et Université de Caen

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## Plan of the talk

- ▶ General framework.
  - ▶ Two probabilistic models,  
the **Bernoulli** model and the **Poisson** model.
  - ▶ Description of the tools,  
the Poisson transform, the Poisson sequence.

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  - ▶ Uses The **Mellin inverse** transform and the saddle point.
  - ▶ Need : **Depoissonization** sufficient conditions, well studied.

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- ▶ Study of sufficient conditions for tameness,
  - ▶ using the inverse **Laplace** transform

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## General framework.

Begin with (elementary) data

Consider algorithms which use as inputs finite sequences of data

If  $\mathcal{X}$  is the set of data, then the set of inputs is  $\mathcal{X}^* = \bigcup_{n \geq 0} \mathcal{X}^n$

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Not in case (1) where the successive symbols may be strongly dependent.

## Two probabilistic models.

The space of inputs is the set  $\mathcal{X}^*$  of the finite sequences of elements of  $\mathcal{X}$ . There are two main probabilistic models on the set  $\mathcal{X}^*$ .

- ▶ The **Bernoulli** model  $\mathcal{B}_n$ , where the cardinality  $N$  is fixed equal to  $n$  (then  $n \rightarrow \infty$ ); The Bernoulli model is **more natural** in algorithmics.

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- ▶ The **Poisson** model  $\mathcal{P}_z$  of parameter  $z$ , where the cardinality  $N$  is a random variable that follows a Poisson law of parameter  $z$ ,

$$\Pr[N = n] = e^{-z} \frac{z^n}{n!},$$

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(then  $z \rightarrow \infty$ ). The Poisson model has nice probabilistic properties, notably independence properties  $\implies$  **easier to deal** with.

$\implies$  A **first study** in the Poisson model,  
followed with a **return** to the Bernoulli model

## Costs of interest.

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- ▶  $R(\mathbf{x})$  is the **number of vertices** of the convex hull built on the sequence  $\mathbf{x} = (x_1, \dots, x_n)$  of points  $x_i$
- ▶  $R(\mathbf{w})$  is a **function of the probability  $p_{\mathbf{w}}$**  of the finite prefix  $\mathbf{w}$ , with the word  $\mathbf{w}$  viewed as a sequence  $\mathbf{w} := (w_1 \dots, w_n)$  of symbols  $w_i$ .

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Our final aim is the analysis of  $R$  in the model  $\mathcal{B}_n$ ,

- ▶ We begin with the analysis in the (easier) Poisson model  $\mathcal{P}_z$ ,
- ▶ We then wish to return in the (more realistic) Bernoulli model.

## Average-case analysis of a cost $R$ defined on $\mathcal{X}^*$

- ▶ Final aim : Study the sequence  $n \mapsto r(n)$ ,  
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$\mathbb{E}_z[R]$  is the **Poisson transform** of the sequence  $n \mapsto r(n)$ .

- ▶ With (properties of) the Poisson transform  $P(z)$  of  $n \mapsto r(n)$   
return to (the asymptotics of) the sequence  $n \mapsto r(n)$

The Poisson transform and the Poisson sequence



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$$P(z) = e^{-z} \sum_{k \geq 0} f(k) \frac{z^k}{k!} = \sum_{k \geq 0} (-1)^k \frac{z^k}{k!} p(k)$$

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  - ▶ Under this form, it is clear that the map  $\pi$  is involutive.
- ▶ Important binomial relation between  $f(n)$  and  $p(n)$

$$p(n) = \sum_{k=k_0}^n (-1)^k \binom{n}{k} f(k), \quad \text{and} \quad f(n) = \sum_{k=k_0}^n (-1)^k \binom{n}{k} p(k).$$

## An instance of application: Toll functions and tries (I).

A source  $\mathcal{S}$  on a finite alphabet  $\Sigma$

$\mathcal{X}^* := \{\text{sequences of (infinite) words produced by } \mathcal{S}\}$

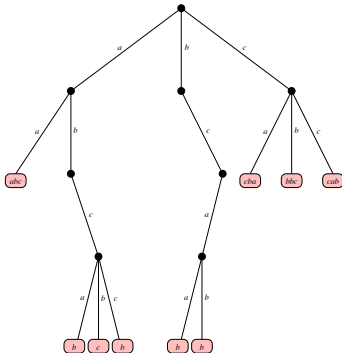
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The trie  $T(\mathbf{x})$  built on  $\mathbf{x} \in \mathcal{X}^*$  is a tree :

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- ▶ If  $|\mathbf{x}| = 1$ ,  $\mathbf{x} = (x)$ ,  $T(\mathbf{x})$  is a leaf labeled by  $x$ .
- ▶ If  $|\mathbf{x}| \geq 2$ , then  $T(\mathbf{x})$  is formed with
  - an internal node  $o$
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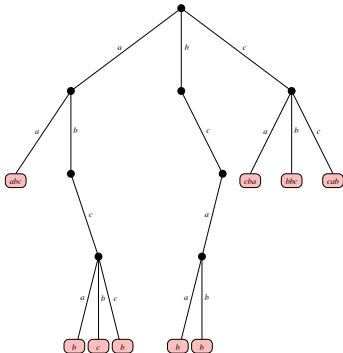
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- ▶  $\mathbf{x}_{\langle\sigma\rangle}$  is the subsequence of  $\mathbf{x}$  formed with words which begin with  $\sigma$
- ▶  $\underline{\mathbf{x}}_{\langle\sigma\rangle}$  is formed with words of  $\mathbf{x}_{\langle\sigma\rangle}$  stripped of their initial symbol  $\sigma$ .
- ▶ If  $\mathbf{x}_{\langle\sigma\rangle} \neq \emptyset$ , the edge  $o \rightarrow T(\underline{\mathbf{x}}_{\langle\sigma\rangle})$  is labelled with  $\sigma$ .

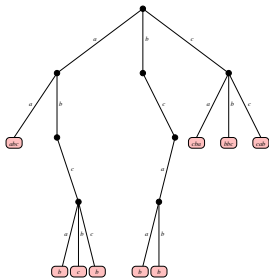
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A sequence  $n \mapsto f(n)$  with  $\text{val}(f) = 2$  plays the role of a toll function.

With the toll  $f$ , associate the cost  $R$  defined on  $\mathcal{X}^*$

$$R(\mathbf{x}) := \sum_{w \in \Sigma^*} f(|\mathbf{x}_{\langle w}||),$$

- ▶  $\mathbf{x}_{\langle w}$  is the subsequence of  $\mathbf{x}$  formed with words which begin with the prefix  $w$
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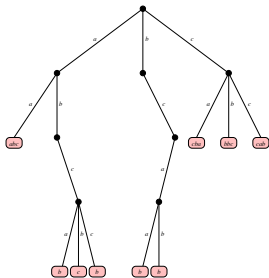
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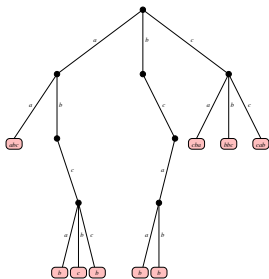
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Another instance (less classical) :  $f(k) = k \log k \implies \dots$

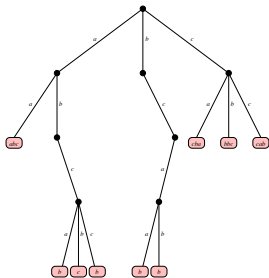
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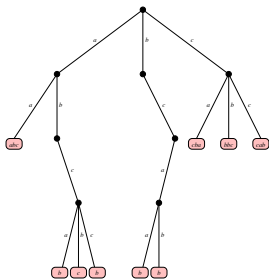
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What is the mean value of the cost  $R(\mathbf{x})$  when  $\mathbf{x} \in \mathcal{X}^n$  ?

## An instance of application: Toll functions and tries (III).

Remind: 
$$R(\mathbf{x}) := \sum_{\mathbf{w} \in \Sigma^*} f(|\mathbf{x}_{\langle \mathbf{w} \rangle}|) = \sum_{\mathbf{w} \in \Sigma^*} f(N_{\mathbf{w}}(\mathbf{x}))$$

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What is given? – the source with the probabilities  $p_w$ .

– the toll sequence  $n \mapsto f(n)$ , its transform  $P(z)$  and its sequence  $\pi[f]$

$$P(z) = \mathbb{E}_z[f(N)] = e^{-z} \sum_{n \geq 2} f(n) \frac{z^n}{n!} = \sum_{n \geq 2} (-1)^n p(n) \frac{z^n}{n!}$$

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$N$  follows  $\mathcal{P}_z \implies N_{\mathbf{w}}$  follows  $\mathcal{P}_{z p_{\mathbf{w}}} \implies \mathbb{E}_z[f(N_{\mathbf{w}})] = P(z p_{\mathbf{w}})$ .

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What is given? – the source with the probabilities  $p_w$ .

– the toll sequence  $n \mapsto f(n)$ , its transform  $P(z)$  and its sequence  $\pi[f]$

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What about  $r(n) := \mathbb{E}_{[n]}[R]$ , its Poisson transform, its Poisson sequence?



## An instance of application: Toll functions and tries (III).

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Sequence  $f(n)$  and source  $\mathcal{S} \implies Q(z)$  and  $q(n)$

How to return to  $r(n)$ ?

## Part II – Description of the two paths. Generic tools.

Two paths from the Poisson model to the Bernoulli model

- ▶ Both use the [Mellin](#) transform

## Description of the two possible paths.

Begin with a sequence  $k \mapsto f(k)$ ,

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- ▶ Rice method (Ri)
  - ▶ Deal with the sequence  $\pi[f] : n \mapsto p(n)$ ,
  - ▶ and its analytic lifting  $\pi[f]$  which is proven to exist
  - ▶ Return to the sequence  $n \mapsto f(n)$  via the binomial formula which is transferred into an integral, the Rice integral.

## A first technical condition: Valuation-Degree Condition

**Definition.** For a non zero real sequence  $n \mapsto f(n)$ , define

$$\text{val}(f) := \min\{k \mid f(k) \neq 0\},$$

$$\text{deg}(f) := \inf\{c \mid f(k) = O(k^c)\} = \limsup \left\{ \frac{\log f(k)}{\log k} \mid k \geq k_0 \right\}.$$

The sequence  $n \mapsto f(n)$  satisfies the Valuation-Degree Condition (VD),  
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If  $n \mapsto f(n)$  is of polynomial growth, then  $\text{deg}(f)$  is finite.

In this case, the VD-Condition is not restrictive: Replace  $f$  by  $f_+$

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We always assume the VD-Condition to hold,

with a difference  $d - k_0$  as smallest as wished.

## A second technical tool: the canonical sequence.

When  $\text{val}(f) = k_0$ ,  $P(z)$  is written as

$$P(z) = z^{k_0} Q(z), \quad Q(z) = e^{-z} \sum_{k \geq 0} g(k) \frac{z^k}{k!} = \sum_{n \geq 0} (-1)^n \frac{z^n}{n!} q(n).$$

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The sequence  $k \mapsto g(k)$  is the canonical sequence associated with  $k \mapsto f(k)$

$$g(k) = \frac{f(k + k_0)}{(k + 1) \dots (k + k_0)} \quad \text{for } k \geq 0.$$

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$$p(k + k_0) = (k + k_0) \dots (k + 1) q(k) \quad \text{for } k \geq 0.$$

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Ex:  $f(k) = k \log k$  with  $k_0 = 2 \implies g(k) = \frac{f(k + 2)}{(k + 1)(k + 2)} = \frac{1}{k + 1} \log(k + 2)$



A tool which is used in each of the two paths: The Mellin transform (I).

The Mellin transform  $H^* : s \mapsto H^*(s)$  of  $x \mapsto H(x)$  is

$$H^*(s) := \int_0^\infty H(x)x^{s-1}dx.$$

If  $H(x) = O(x^{-\alpha})$  as  $x \rightarrow 0$  and  $H(x) = O(x^{-\beta})$  as  $x \rightarrow \infty$ ,  
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When  $H$  is defined inside cones  $\mathcal{C}(a, \theta) := \{z \mid |\arg(z - a)| < \theta\}$ , an important lemma, often called “Exponential Smallness Lemma”.

**Lemma.** [Flajolet-Gourdon-Dumas (1998)] *If, inside the cone  $\mathcal{C}(0, \theta)$  with  $\theta > 0$  one has  $H(z) = O(|z|^{-\alpha})$  as  $z \rightarrow 0$  and  $H(z) = O(|z|^{-\beta})$  as  $|z| \rightarrow \infty$ , then the following estimate is uniform in  $\langle \alpha, \beta \rangle$*

$$H^*(s) = O(e^{-\theta|t|}), \quad (s = \sigma + it)$$

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$$Q(z) = \sum_{w \in \Sigma^*} P(zp_w) \implies Q^*(s) = \left[ \sum_{w \in \Sigma^*} p_w^{-s} \right] P^*(s)$$

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For the **Newton-Rice** path, use **directly**  $P^*(s)$  and more precisely  $P^*(s)/\Gamma(s)$

For the **DP path**, use also the properties of the **inverse Mellin** transform:

$$P(z) = \frac{1}{2i\pi} \int_{\uparrow} P^*(s) z^{-s} ds$$

Poles of  $P^*(s)$  **on the right** of the fundamental strip

$\implies$  Asymptotic behaviour of  $P(z)$  for  $z \rightarrow \infty$ .

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Main contributors

- ▶ Jacquet and Szpankowski [1998], two papers...
- ▶ Hwang-Fuchs-Zacharovas [2010]
- ▶ Jacquet [2014]

## Depoissonization path (I). The Charlier-Poisson expansion

introduced in the AofA domain by Hwang-Fuchs-Zacharovas [2010]

$$P(z) = \sum_{j \geq 0} \frac{P^{(j)}(n)}{j!} (z - n)^j \quad \Longrightarrow \quad f(n) := n![z^n] (e^z P(z)) = \sum_{j \geq 0} \frac{P^{(j)}(n)}{j!} \tau_j(n)$$

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$n \mapsto \tau_j(n)$  are polynomials closely related to the Charlier polynomials.

They are called the **Charlier-Poisson** polynomials. One has  $\deg \tau_j = \lfloor j/2 \rfloor$

The first few Poisson-Charlier polynomials are

$$\begin{aligned} \tau_0(n) &= 1, & \tau_1(n) &= 0, & \tau_2(n) &= -n, & \tau_3(n) &= 2n, \\ \tau_4(n) &= 3n(n-2), & \tau_5(n) &= 4n(5n-6), & \tau_6(n) &= -5n(3n^2 - 26n + 24). \end{aligned}$$

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$P(z)$  entire  $\implies$  the expansion of  $f(n)$  in terms of  $P^{(j)}(n)$  is always valid

$$f(n) = \sum_{j \geq 0} \frac{P^{(j)}(n)}{j!} \tau_j(n)$$

But we wish **truncate** ... Are the first terms dominant for  $n \rightarrow \infty$ ?

We need **depoissonization** conditions on the Poisson transform  $P(z)$ ....



## Depoissonization path (II). $\mathcal{JS}$ Conditions for depoissonisation

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What happens when we drop terms with  $j \geq 2^\ell$ ? We expect an error of order  $P^{(2^\ell)}(n)n^\ell$  which in typical cases is of order  $P(n)n^{-\ell} \dots$

## Depoissonization path (II). $\mathcal{JS}$ Conditions for depoissonisation

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[ $\mathcal{JS}$  admissibility] *An entire function  $P(z)$  is  $\mathcal{JS}$ -admissible with parameters  $(\alpha, \beta)$  if there exist  $\theta \in ]0, \pi/2[$ ,  $\delta < 1$  for which (for  $z \rightarrow \infty$ )*

(I) *For  $\arg z \leq \theta$ , one has  $|P(z)| = O(|z|^\alpha \log^\beta(1 + |z|))$ .*

(O) *For  $\theta \leq \arg z \leq \pi$ , one has  $|P(z)e^z| = O(e^{\delta|z|})$ .*

### Depoissonization Path (III) : the main result.

**Theorem.** (Jacquet-Szpankowski[1998] Hwang-Fuchs-Zacharovas[2010])  
If the Poisson transform  $P(z)$  of  $f(n)$  is  $\mathcal{JS}(\alpha, \beta)$  admissible, then

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Let  $P(z)$  be the Poisson transform of  $f(n)$  assumed to be entire.

▶ The two conditions are equivalent

- (i)  $P(z)$  is  $\mathcal{JS}$ -admissible
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- ▶ Jacquet proves that the analytic lifting exists in the cone  $\mathcal{C}(0, \theta)$ . He does not remark that it exists in fact in the cone  $\mathcal{C}(-1, \theta)$ ... It will be important for us in the following...

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The analytical lifting  $\varphi(z)$  is obtained with an extension of the Cauchy formula

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$$\text{as: } \varphi(z-1) = \frac{z\Gamma(z)}{2\pi} z^{-z} \int_{-\pi}^{+\pi} e^{i\theta} P(ze^{i\theta}) \exp[z(e^{i\theta} - i\theta)] d\theta$$

The integral part is an analytical function of  $x$  on the whole complex plane

The function  $z\Gamma(z)z^{-z}$  is analytical in  $\mathcal{C}(0, \theta)$  with  $\theta < \pi$ .



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## The Mellin-Newton-Rice path (I). Mellin-Newton

If  $n \mapsto f(n)$  has  $\text{val}(f) = 0$ ,  $\text{deg}(f) = c < 0$ ,  
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In the strip  $\langle 0, -c \rangle$ , the Mellin transform  $P^*(s)$  of  $P(z)$  exists and satisfies

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Exchange of integration and summation is justified

- ▶ each  $\Gamma(s+k)$  is well defined for  $k \geq 0$  as soon as  $\Re s > 0$ .
- ▶  $P^*(s)/\Gamma(s)$  is convergent for  $\Re s + c < 0$  due to the estimate

$$\frac{1}{k!} \frac{\Gamma(s+k)}{\Gamma(s)} = \frac{s(s+1)\dots(s+k-1)}{k!} = \frac{k^{s-1}}{\Gamma(s)} \left[ 1 + O\left(\frac{1}{k}\right) \right] \quad (k \rightarrow \infty),$$

The equality holds on the strip  $\langle c, 0 \rangle$

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This integral representation is valid for  $a \in [c, 0]$ .

We now shift **to the left** ... and we need **tameness conditions** on  $\pi[f]$ ,  
and thus **sufficient conditions** on the sequence  $n \mapsto f(n)$ .

## The Mellin-Newton-Rice path (III). Rice – Tameness and shifting to the left?

**Definition.** A function  $\varpi$  analytic and of polynomial growth on  $\Re s > c$  is **tame** if there exists a region  $\mathcal{R}$  between a curve  $\mathcal{C} \subset \{\Re s < c\}$  and the line  $\Re s = c$  for which  $\varpi$  is **meromorphic** and of **polynomial growth** on  $\mathcal{R}$ .

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Closely related to the **Mellin transform**  $P^*(s)$ .

Meromorphy is easy to ensure, the poles are easy to find...

## The Mellin-Newton-Rice path (III). Rice – Tameness and shifting to the left?

**Definition.** A function  $\varpi$  analytic and of polynomial growth on  $\Re s > c$  is **tame** if there exists a region  $\mathcal{R}$  between a curve  $\mathcal{C} \subset \{\Re s < c\}$  and the line  $\Re s = c$  for which  $\varpi$  is **meromorphic** and of **polynomial growth** on  $\mathcal{R}$ .

**Proposition.** Consider  $n \mapsto f(n)$  with  $\text{val}(f) = 0$  and  $\text{deg } f = c < 0$ . If the lifting  $\pi[f]$  is  **$\mathcal{R}$ -tame**, then

$$f(n) = - \left[ \sum_{k|s_k \in \mathcal{R}} \text{Res} [L_n(s) \cdot \pi[f](s); s = s_k] + \frac{1}{2i\pi} \int_{\mathcal{C}} L_n(s) \cdot \pi[f](s) ds \right],$$

The sum is over the poles  $s_k$  of  $\pi[f]$  inside  $\mathcal{R}$ .

Very easy to apply ... but we need sufficient conditions for **tameness** of

$$\pi[f](s) = \frac{P^*(-s)}{\Gamma(-s)} = \sum_{k \geq 0} (-1)^k f(k) \frac{s(s-1)\dots(s-k+1)}{k!}.$$

Closely related to the **Mellin transform**  $P^*(s)$ .

Meromorphy is easy to ensure, the poles are easy to find...

And polynomial growth? True for  $P^*(-s)$  – But with the factor  $1/\Gamma(-s)$ ??



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There are other “easy” sequences, geometric sequences  $f(k) = a^k$  ( $a < 1$ ),

$$P(z) = \exp[-z(1-a)], \quad P^*(s) = \Gamma(s)(1-a)^{-s}, \quad \pi[f](s) = (1-a)^s$$

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There are sequences  $f(k)$  which resemble geometric sequences...  
when the function  $f$  is a **Laplace** transform of some function  $\hat{f}$ . Then

$$f(k) = \int_0^\infty e^{-ku} \hat{f}(u) du, \quad \pi[f](s) = \int_0^\infty \hat{f}(u) (1 - e^{-u})^s du$$

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- ▶ then focuses on particular sequences  $f(n)$ , the basic ones,  
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- ▶ proves the tameness condition to hold on  $\pi[g]$  in this basic case.
- ▶ (in progress) extends the previous study to “generic” sequences  $f$

## Expression for the $\pi[f]$ lifting

**Proposition.** Consider a sequence  $f \mapsto f(n)$  which is extended into a function  $f : [0, +\infty] \rightarrow \mathbb{R}$ . Assume the following

$f$  is the **Laplace transform** of a function  $\hat{f} : [0, +\infty] \rightarrow \mathbb{R}^+$  integrable on  $[0, +\infty]$  and continuous on  $]0, +\infty[$ .

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A nice alternative integral expression of  $\pi[f]$

- ▶ It is important to characterise such functions  $f$  and compare  $\sigma$  to  $c = \deg(f)$
- ▶ We do not know yet such a precise characterisation...
- ▶ We limit ourselves to a class of particular functions...

## A particular class of interest : Basic functions.

Consider a triple  $(k_0, d, b)$  with an integer  $b \geq 0$ , an integer  $k_0 \geq 1$  which satisfies  $k_0 \geq 2$  for  $b > 0$  and a real  $d < k_0$ .

A sequence  $k \mapsto f(k)$  is called **basic** with the triple  $(k_0, d, b)$  if it satisfies

$$f(k) = k^d \log^b k S\left(\frac{1}{k}\right) \quad \text{for } k \geq k_0, \quad f(k) = 0 \quad \text{for } k < k_0$$

$S$  is analytic at 0 with a convergence radius  $r = 1/(k_0 - 1)$ , and  $S(0) = 1$ .

The **VD** condition  $d < k_0$  holds with  $\text{val}(f) = k_0$  and  $\text{deg}(f) = d$ .

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The **canonical** sequence  $g : k \mapsto g(k)$  is extended into  $g : ]-1, +\infty[ \rightarrow \mathbb{R}$

$$g(x) = (x + k_0)^{d-k_0} \log^b(x + k_0) T\left(\frac{1}{x + k_0}\right)$$

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$$\text{Ex: } f(k) = k \log k \text{ with } k_0 = 2 \implies g(x) = \frac{\log(x+2)}{x+1} = \frac{\log(x+2)}{x+2} \left(1 - \frac{1}{x+2}\right)^{-1}$$



Expression of  $\pi[g]$  for canonical sequences associated with basic functions  $f$ .

**Proposition.** Consider the canonical sequence  $g(n)$  associated with a basic sequence of the form  $f(k) = k^d \log^b k S(1/k)$ . Let  $c = d - k_0 < 0$ .

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where the functions  $V_\ell$  are as previously.

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**Proof.**  $g_t = (1 + x_0)^{-(t+c)} \implies \hat{g}_t(u) = \frac{u^{c+t-1}}{\Gamma(c+t)}$

We deal with the log factors via the derivative wrt to  $t$  at  $t = 0$

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The following holds for the function  $\pi[g]$  on the half-plane  $\Re s > c - 1$

- ▶ it is meromorphic, with an only pole at  $s = c$  of multiplicity  $b + 1$ ,
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- ▶ it is of **polynomial growth** in any half-plane  $\Re s \geq \sigma_0 > c - 1$ .

**Proof.** Consider the derivatives of the  $\Gamma$  function and the linear form  $\mathcal{I}_s$

$$\Gamma^{(\ell)}(s) = \int_0^\infty e^{-u} u^{s-1} \log^\ell u \, du \quad \mathcal{I}_s[h] := \int_0^\infty h(u) u^s \left( \frac{1 - e^{-u}}{u} \right)^s \, du$$

On any halfplane  $\Re s + c \geq \sigma_0 > -1$ , the difference, for any fixed  $\ell$ ,

$$\mathcal{I}_s[u^{c-1} \log^\ell u] - \Gamma^{(\ell)}(s + c) = \int_0^\infty e^{-u} u^{c+s-1} \log^\ell u \left[ \left( \frac{1 - e^{-u}}{u} \right)^s - 1 \right] \, du$$

defines a normally convergent integral.

## Tameness of $\pi[g]$ for canonical sequences associated with a generic functions $f$ .

We have shown tameness of  $\pi[f]$  for basic sequences,

We solve our problem for  $f(k) = k \log k$

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We expect a general result which validates the Newton-Rice path for generic sequences in the **same general framework as Depoissonization**.



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Remind : If the sequence  $f$  satisfies the  $\mathcal{JS}$  condition, then

- ▶ the sequence  $f$  admits an **analytical** lifting  $f(z)$  in any cone  $\mathcal{C}(-1, \theta)$  which is of **polynomial growth** in a cone  $\mathcal{C}(0, \theta_0)$
- ▶ the canonical sequence  $g$  admits an **analytical** lifting  $g(z)$  in any cone  $\mathcal{C}(-1, \theta)$  which is of **polynomial growth** in a cone  $\mathcal{C}(0, \theta_0)$ . We can choose the polynomial growth  $c < -1$ .

We thus need a result for general canonical sequences  $g$  which

- ▶ describes the properties of their inverse Laplace transform  $\hat{g}$
- ▶ makes possible the extension of our previous study

Tameness of  $\pi[g]$  for canonical sequences associated with a generic functions  $f$ .

We thus need a result as follows (but yet not completely proven)

**Proposition (?).** Consider a function  $g$  as follows

- ▶ it is analytic in the cone  $\mathcal{C}(-1, \theta)$  with any  $\theta < \pi$ .
- ▶ For  $c < -1$ ,  $g(z)$  is  $O(z+1)^c \log^{\ell}(z+1)$  in a cone  $\mathcal{C}(0, \theta)$ ,  $\theta > \pi/2$

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Then its inverse Laplace transform  $\widehat{g}(u)$  exists, is analytic on the real line  $[0, +\infty]$  and satisfies for some  $a \in ]0, 1[$  the estimate  $O\left(e^{-au} u^{c-1} \log^\ell u\right)$

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It would validate our approach for the Newton-Rice path.

**Proposition/Conjecture.** Consider a sequence  $n \mapsto f(n)$  which satisfies the  $\mathcal{JS}$  condition, namely, it admits an **analytical** lifting  $f(z)$  in any cone  $\mathcal{C}(-1, \theta)$  which is of **polynomial growth** in a cone  $\mathcal{C}(0, \theta_0)$ .

If moreover, the angle  $\theta_0$  satisfies  $\theta_0 > \pi/2$ , then

- ▶ the method of the inverse Laplace transform may be used,
- ▶ the Newton-Rice path may be used

Conclusion : Comparison between the two paths.

## High-level and formal view

### Tools used in Depoissonization.

first derive asymptotics of  $P(z)$  for large  $|z|$  by the inverse Mellin integral

$$P(z) = \frac{1}{2i\pi} \int_{\uparrow} P^*(s) z^{-s} ds = \frac{1}{2i\pi} \int_{\uparrow} P^*(-s) z^s ds, \quad (1)$$

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and use the Cauchy integral formula

$$f(n) = \frac{n!}{2i\pi} \int_{|z|=r} P(z) e^z \frac{1}{z^{n+1}} dz.$$

Compare with the **Newton-Rice** approach.

As  $P(z)e^z$  is entire, replace the contour  $\{|z|=r\}$  by a Hankel contour

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$$f(n) = \frac{n!}{2i\pi} \int_{\mathcal{H}} P(z) e^z \frac{1}{z^{n+1}} dz \quad (2)$$

Now **formally** substitute (1) into (2), interchange the order of integration

and use the equality 
$$\frac{1}{\Gamma(n+1-s)} = \frac{1}{2i\pi} \int_{\mathcal{H}} e^z \frac{z^s}{z^{n+1}} dz,$$

## High-level and formal view

### Tools used in Depoissonization.

first derive asymptotics of  $P(z)$  for large  $|z|$  by the inverse Mellin integral

$$P(z) = \frac{1}{2i\pi} \int_{\uparrow} P^*(s) z^{-s} ds = \frac{1}{2i\pi} \int_{\uparrow} P^*(-s) z^s ds, \quad (1)$$

and use the Cauchy integral formula

$$f(n) = \frac{n!}{2i\pi} \int_{|z|=r} P(z) e^z \frac{1}{z^{n+1}} dz.$$

Compare with the **Newton-Rice** approach.

As  $P(z)e^z$  is entire, replace the contour  $\{|z|=r\}$  by a Hankel contour

$$f(n) = \frac{n!}{2i\pi} \int_{\mathcal{H}} P(z) e^z \frac{1}{z^{n+1}} dz \quad (2)$$

Now **formally** substitute (1) into (2), interchange the order of integration

and use the equality 
$$\frac{1}{\Gamma(n+1-s)} = \frac{1}{2i\pi} \int_{\mathcal{H}} e^z \frac{z^s}{z^{n+1}} dz,$$

we obtain the representation

$$f(n) = \frac{n!}{2i\pi} \int_{\uparrow} P^*(-s) \frac{1}{\Gamma(n+1-s)} ds = \frac{1}{2i\pi} \int_{\uparrow} \pi[f](s) \frac{(-1)^{n+1} n!}{s(s-1)\dots(s-n)} ds.$$

## Comparison of analytic tools. Conclusion

- A priori not the same tools in the two paths
- It is interesting to compare these two paths (not generally done...)
- The method of the inverse Laplace transform seems powerful  
(when it may be used) for the two paths
- It remains to completely validate this approach....