Szlenk indices of convex hulls

joint work with Gilles Lancien and Matías Raja

Tony Procházka

Université de Franche-Comté

Luminy, December 2015

The Coauthors

Figure: Gilles



Figure: Matías



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$$s'_{\varepsilon}(K) := \{x^* \in K : \ \forall \ \mathbf{w}^* - \text{neighborhood } U \text{ of } x^*, \\ \operatorname{diam}(K \cap U) \geq \varepsilon \} \ \dots \text{ Szlenk derivation of } K$$

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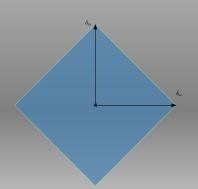
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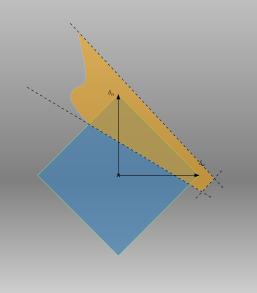
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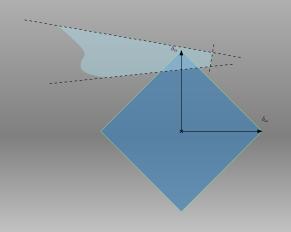
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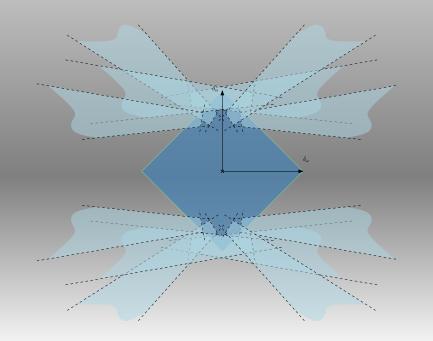
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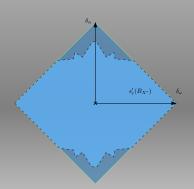
Sz(X) = 1 iff dim $X < \infty$ iff $Sz(X) < \omega$.

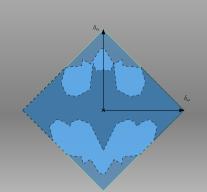


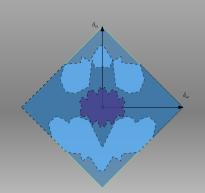












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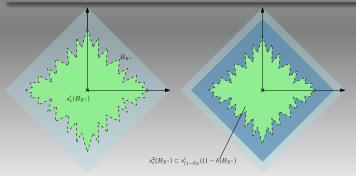
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- An important tool for showing that $Sz(X) \le \omega$ is invariant under uniform homeomorphisms (G-K-L).
- It is still open whether $Sz(X) \leq \omega^{\alpha}$ is Lipschitz invariant.

Let $\alpha \in [0, \omega_1)$ be an ordinal. The dual norm on X^* is ω^{α} -w*-uniformly Kadets-Klee (ω^{α} -UKK*) if

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Let X be a separable Banach space. Then $Sz(X) \leq \omega^{\alpha+1}$ if and only if X admits an equivalent norm whose dual norm is ω^{α} -UKK*.

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Remark

There are Banach spaces with $Sz(X) = \omega^{\alpha}$, α limit (Causey '15) \rightsquigarrow No result for such spaces.

The convex Szlenk index

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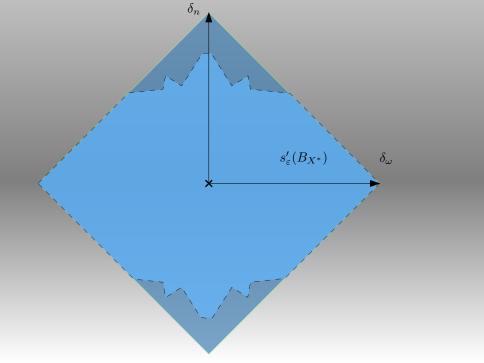
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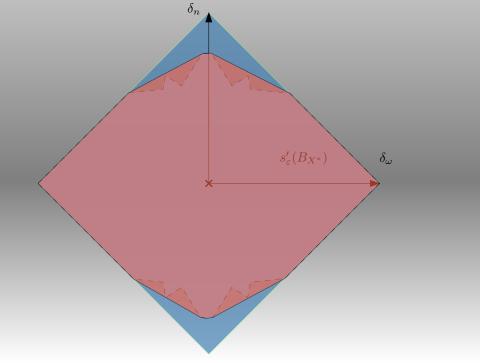
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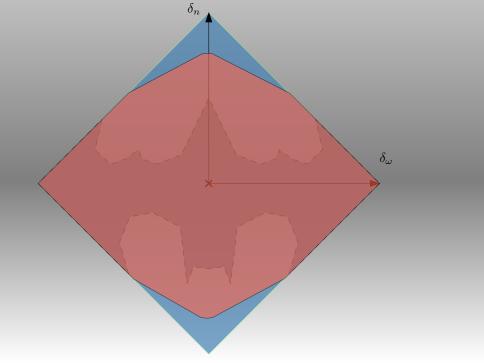
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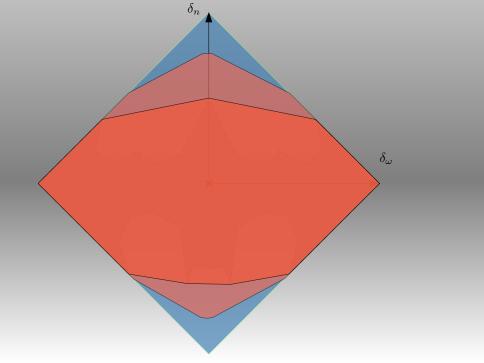
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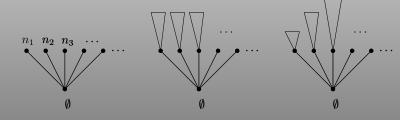
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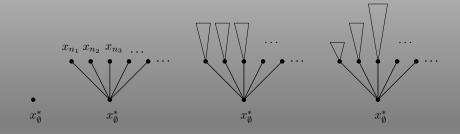
Definition (Family of trees \mathcal{T}_{α} , $\alpha < \omega_1$)

- $\mathcal{T}_0 := \{\{\emptyset\}\}$
- $T \in \mathcal{T}_{\alpha}$ if there exists $(n_k) \subset \mathbb{N}$, $n_k \nearrow \infty$, such that

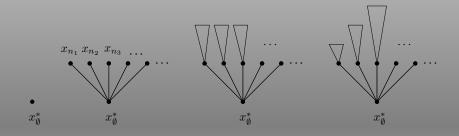
$$T = \{\emptyset\} \cup \bigcup_{k=0}^{\infty} (n_k)^{\hat{}} T_k,$$

where $T_k \in \mathcal{T}_{\alpha_k}$ for each $k \in \mathbb{N}$ and some α_k

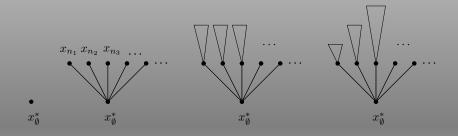
- if $\alpha = \beta + 1 \Longrightarrow \alpha_k = \beta$ for all k
- if α limit $\Longrightarrow \alpha_k \nearrow \alpha$.



• if $T \in \mathcal{T}_{\alpha}$ and $\exists (x_s^*)_{s \in T} \subset K$ such that $x_{s ^\smallfrown n}^* \xrightarrow{w^*} x_s^*$ and $\left\|x_{s ^\smallfrown n}^* - x_s^*\right\| \geq \varepsilon$, then $x_\emptyset^* \in s_\varepsilon^\alpha(K)$.



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- if $x^* \in s_{\varepsilon}^{\alpha}(K)$ and $\varepsilon' < \varepsilon$, then $\exists T \in \mathcal{T}_{\alpha}$ and \exists w*-continuous $\frac{\varepsilon'}{2}$ -separated $(x_s^*)_{s \in T} \subset K$ such that $x_{\emptyset}^* = x^*$.

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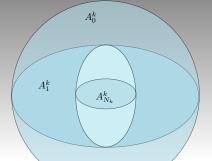
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- $|\cdot|$ is dual and satisfies $|\cdot| \le |\cdot| \le 2 |\cdot|$.

• Let $\varepsilon'>0$, it is enough to find $\delta>0$ such that $\forall\, x^*\in s'_{\varepsilon'}(B_{|\cdot|})$ (w.r.t. the original norm) $f(x^*)\leq 1-\delta.$

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• Let p such that $\frac{\varepsilon}{8} \leq 2^{-p} < \frac{\varepsilon}{4}$. We will show that for some $l \in \{1, \dots, N_p\}$ there is a jump $\operatorname{d}(x^*, A_l^p) + \gamma < \liminf_{s \in T} \operatorname{d}(x_s^*, A_l^p)$ of size $\gamma \sim \varepsilon$.

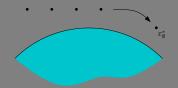
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$$\begin{split} f(x^*) + \frac{\gamma}{2^p N_p} &= \frac{\gamma}{2^p N_p} + \|x^*\| + \sum_{k=1}^{\infty} \frac{1}{2^k N_k} \sum_{n=1}^{N_k} \mathrm{d}(x^*, A_n^k) \\ &\leq \varliminf_{s \in T} \|x^*\| + \sum_{k=1}^{\infty} \frac{1}{2^k N_k} \sum_{n=1}^{N_k} \varliminf_{s \in T} \mathrm{d}(x^*, A_n^k) \\ &\leq \liminf_{s \in T} \left(\|x^*_s\| + \sum_{k=1}^{\infty} \frac{1}{2^k N_k} \sum_{n=1}^{N_k} \mathrm{d}(x^*_s, A_n^k) \right) \leq 1 \end{split}$$

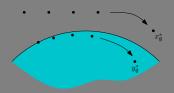
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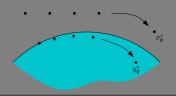


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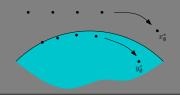
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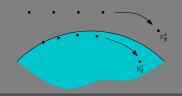
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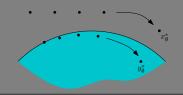
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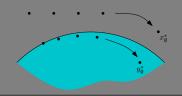
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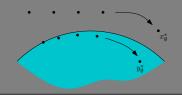
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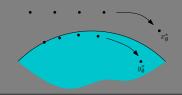
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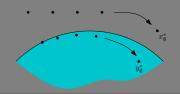
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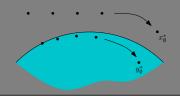
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- $\Rightarrow \sigma^{\omega^{n+1}}$ is 6κ -convexifiable.

Sublemma 1

$$\forall \, \varepsilon' > \varepsilon \quad \langle \eta \rangle^{\omega}_{\kappa \varepsilon'}(\overline{\operatorname{conv}^*}(A)) = \emptyset.$$

Sublemma 1

Let X be a Banach space and η a κ -convexifiable nc-measure. Assume that A is a weak*-compact symmetric and radial such that $[\eta]'_{\varepsilon}(A) \subset \lambda A$ for some $\lambda \in (0,1)$ and $\varepsilon > 0$. Then

$$\forall \, \varepsilon' > \varepsilon \quad \langle \eta \rangle^{\omega}_{\kappa \varepsilon'}(\overline{\operatorname{conv}^*}(A)) = \emptyset.$$

• Let $\varepsilon' > \varepsilon$. Let $\lambda < \zeta < \xi < 1$ (ζ arbitrary fixed, $\xi \to 1$).

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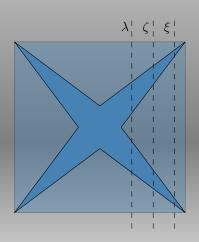
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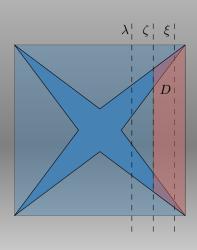
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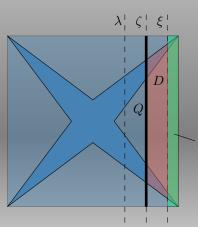
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- So let $x \in X$, $\sup x(\overline{\operatorname{conv}}^*(A)) = 1$ and $S = \{x^* \in \overline{\operatorname{conv}}^*(A) : x^*(x) > \xi\}.$



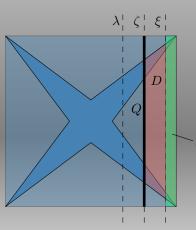


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$$D = \overline{\operatorname{conv}^*} \{ x^* \in A : x^*(x) \ge \zeta \},$$

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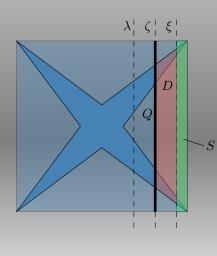
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$$S \subset [t_{\xi}, 1]D + [0, 1 - t_{\xi}]Q$$

$$\bullet \Longrightarrow \eta(S) < \kappa \varepsilon'.$$

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- i.e. (with $\kappa_n = 6^n$):

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• This finishes the proof of Sz(K)=Cz(K) when $Sz(K)<\omega^{\omega}.$

Thank you!