Rational approximation to functions with polar singular set

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- Example: *f* has branchpoints and countably many essential singularities.

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Let $K \subset \Omega \subset \mathbb{C}$ with K compact and Ω open. If $f \in Hol(\Omega)$ and $\varepsilon > 0$, there is a rational function R such that

 $|f(z)-R(z)|<\varepsilon, \qquad z\in K.$

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- Runge's proof rests on his "pole shifting technique". Useful in other contexts (*e.g.* density of gradients of harmonic polynomials in vector fields with gradient tangential component on proper regular compact subsets of the sphere [J. Leblond, J.Partington, L.B., 2009].

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- Constructive approximation (applications to number theory, numerical analysis, modeling and engineering ...).

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- Understanding how the poles distribute asymptotically is a key to obtain error rates of concrete sequences of approximants.

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- Determining where the poles of an optimal approximant of given degree should lie is the non-convex and most difficult part of the approximation problem.
- Understanding how the poles distribute asymptotically is a key to obtain error rates of concrete sequences of approximants.
- The talk is concerned with asymptotic error rates and pole distribution. The fundamental feature of our situation is that *f* extends analytically beyond the compact set *K* on which approximation takes place.

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• They make contact with logarithmic potential theory.

Some potential theory

Some potential theory

• The logarithmic potential of a positive measure μ with compact support in $\mathbb C$ is

$$V^{\mu}(z) := \int \log \left| rac{1}{z-t}
ight| \, d\mu(t)$$

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This is a superharmonic function valued in ℝ ∪ {+∞}, the solution to Δu = −μ which is smallest in modulus at ∞.

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- This is a superharmonic function valued in ℝ ∪ {+∞}, the solution to Δu = −μ which is smallest in modulus at ∞.
- The logarithmic energy of μ is

$$I(\mu) := \int \int \log \left| \frac{1}{z-t} \right| \, d\mu(t) d\mu(z).$$

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Some potential theory

• The logarithmic potential of a positive measure μ with compact support in $\mathbb C$ is

$$V^{\mu}(z) := \int \log \left| rac{1}{z-t}
ight| d\mu(t)$$

- This is a superharmonic function valued in ℝ ∪ {+∞}, the solution to Δu = −μ which is smallest in modulus at ∞.
- The logarithmic energy of μ is

$$I(\mu) := \int \int \log \left| \frac{1}{z-t} \right| \, d\mu(t) d\mu(z).$$

• The energy lies in $\mathbb{R} \cup \{+\infty\}$.

• The logarithmic capacity of K is $C(K) = e^{-I_K}$ where

$$I_{\mathcal{K}} := \inf_{\mu \in \mathcal{P}_{\mathcal{K}}} \int \int \log \left| rac{1}{z-t} \right| \, d\mu(t) d\mu(x)$$

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• If C(K) > 0, there is a unique measure $\omega_K \in \mathcal{P}_K$ to meet the above infimum. It is called the equilibrium distribution on K.

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- A property valid outside a polar set is said to hold quasi-everywhere.
- ω_K is characterized by V^{ω_K} being constant q.e. on K (Frostman theorem).

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The capacity of a set *E* is the supremum of *C_K* over all compact *K* ⊂ *E*.

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 The weighted capacity of a non polar compact set K in the field ψ, assumed to be lower semi-continuous and not infinite q.e. on K, is C_ψ(K) = e^{-l_ψ} where

$$I_{\psi} := \inf_{\mu \in \mathcal{P}_{\mathcal{K}}} \int \int \log rac{1}{|z-t|} d\mu(t) d\mu(z) + 2 \int \psi(t) d\mu(t).$$

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- When $\psi \equiv 0$ one recovers the usual capacity.

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- Let Ω open have non-polar boundary $\partial \Omega$.
- The Green function of Ω with pole at $z\in \Omega$ is the function $G_{\Omega}(z,.)$ such that
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• Example: if **D** is the unit disk, then

$$G_{\mathbb{D}}(z,t) = \log \left| \frac{1-z\overline{t}}{z-t} \right|.$$

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• Green capacities and Green equilibrium distributions are conformally invariant.

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The measure on K to realize the infimum is ω^G_{K,Ω}, and it is also the weighted equilibrium distribution in the field generated by minus the potential of the other plate.

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Then ξ is irregular iff

$$\Sigma_{n\geq 1} \frac{n}{\log\left(2/C_{F_n}\right)} < \infty.$$

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• There are functions for which this bound is sharp (Tikhomirov).

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- Using outer continuity of the Green capacity, we let $\varepsilon \rightarrow 0$.

• Motivated by certain constructions in multipoint Padé interpolation, A. A. Gonchar conjectured in 1978 that

$$\liminf_{n \to \infty} e_n^{1/n} \le \exp\left(-\frac{2}{C(K,\Omega)}\right). \tag{1}$$

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- In a sense, Gonchar's conjecture means that using rational approximants instead of linear ones improves the convergence like a Newton scheme does to in optimization to a steepest descent algorithm, by squaring the error, at least for a subsequence.
- Gonchar substantiated his conjecture by constructing classes of functions for which (1) is both an equality and a true limit, using (multipoint) Padé interpolants.

Padé interpolants and orthogonal polynomials

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 $\int \frac{q_{k_n}(\xi)}{\omega_{2n}(\xi)} \xi^k d\mu(\xi) = 0, \quad k \in \{0, 1, \dots, k_n - 1\}.$ (2)
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- Note that orthogonality is non Hermitian.
- To assess the asymptotic behavior of q_n , it was realized early that E should have special properties in connection with the asymptotic density of interpolation points, *i.e.* the weak* limit ν of the normalized counting measures of the $\xi_i^{(n)}$:

$$\frac{1}{2n}\sum_{\ell=1}^{2n}\delta_{\xi_{\ell}^{(n)}}\xrightarrow{w*}\nu.$$

Symmetric contours

Symmetric contours

 A weighted S-contour in the field ψ is a compact set K which is an analytic arc in the neighborhood of q.e. point, and such that at every such point

 $\partial \left(V^{\omega_{\mathcal{K},\psi}} + \psi \right) / \partial n^+ = \partial \left(V^{\omega_{\mathcal{K},\psi}} + \psi \right) / \partial n^-$

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 The notion was introduced in nuce by [Nutall, 70's] and expounded by [Stahl, 1985] in the unweighted case, which is suitable to study classical Padé aproximants (*i.e.* high order inetrpolation at a single point).

Theorem [Gonchar-Rachmanov,87] If f is (essentially) a Cauchy integral on a weighted symmetric contour $\mathcal{K}_{f,\nu}$ in the field $-U^{\nu}$, with q.e. nonzero density on the arcs thereof, and if for each n the interpolation points $\xi_1^{(n)}, \dots, \xi_{2n}^{(n)}$ are picked with asymptotic density ν :

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then the Padé interpolants p_{n-1}/q_n in the points $\xi_{\ell}^{(n)}$ converge in capacity to f in the complement of $\mathcal{K}_{f,\nu}$:

$$\lim_{n\to\infty} \operatorname{cap}\{z \notin \mathcal{K}_{f,\nu} : \left| |(f(z) - p_{n-1}(z)/q_n(z))| - e^{-2V_G^{\omega_{K,E^c}}} \right|^{1/n} > \varepsilon \} = 0$$

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and the normalized counting measure of their poles converges towards $\omega_{\mathcal{K},-U^{\nu}}$.

• To substantiate the former's conjecture Gonchar and Rakhmanov used this theorem picking ν the equilibrium distribution on K of the plane condenser (K, E), and showing that the existence of $r_n \in \mathcal{R}_n$ converging in capacity to f as indicated implies existence of $\mathcal{R}_n \in \mathcal{R}_n$ converging uniformly with the correct *n*-th root rate. This they could do if Econsists of finitely many arcs.

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- It is of course required that a weighted symmetric contour *E* exists at all. For functions with polar singular set contained in *K^c*, an open set Ω exists to minimize *C*(*K*, Ω) with *f* analytic on Ω. Then *E* = Ω^c works [Stahl 1989]. Moreover, *E* has finitely many arcs if *f* has finitely many branch points.

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- Altogether functions with finitely many branchpoints support Gonchar's conjecture in a strong sense (true limit).

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- By the Cauchy formula

$$f(z)-r_n(z)=rac{1}{2i\pi}\int_{\partial K}rac{(f-r_n-g)(t)}{t-z}\,dt\quad ext{for }z\in \overset{\circ}{K},$$

which implies easily that

 $\limsup_{k \to \infty} e_{n_k}^{1/n_k} = \limsup_{k \to \infty} e_{n_k}^{1/n_k}, \quad \limsup_{k \to \infty} e_{n_k}^{1/n_k} = \limsup_{k \to \infty} e_{n_k}^{1/n_k}$ along any subsequence n_k .

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where \mathbf{P}_{-} is the projection $L^{2}(\mathbb{T}) \to H^{2}_{0}(\overline{\mathbb{C}} \setminus \overline{\mathbb{D}})$ in the orthogonal decomposition:

$$L^{2}(\mathbb{T}) = H^{2}(\mathbb{D}) \oplus H^{2}_{0}(\overline{\mathbb{C}} \setminus \overline{\mathbb{D}}).$$

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By the above, Fubini's theorem, and the residue formula, we get for v ∈ H²(D):

$$\begin{aligned} A_f(\mathbf{v})(z) &= \frac{1}{2i\pi} \int_{\Gamma} \left(\frac{1}{2i\pi} \int_{\mathbb{T}} \frac{\mathbf{v}(\zeta)}{(z-\zeta)(\zeta-\xi)} \, d\zeta \right) f(\xi) \, d\xi \\ &= \frac{1}{2i\pi} \int_{\Gamma} \frac{\mathbf{v}(\xi)f(\xi)}{(z-\xi)} \, d\zeta, \qquad z \in \overline{\mathbb{C}} \setminus \overline{\mathbb{D}}. \end{aligned}$$

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- *B*₃, *B*₂ are bounded, and for the singular values of *B*₁, *B*₄ we have [Zakharyuta-Skiba, 1976]

$$\lim_{k\to\infty} s_k^{1/k}(B_1) = \lim_{k\to\infty} s_k^{1/k}(B_4) = \exp\left(-\frac{1}{C(\overline{\mathbb{C}}\setminus\mathbb{D},\Gamma)}\right).$$

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 These estimates also follow from *n*-widths estimates by [Fischer-Micchelli, 1980].

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- from which Parfenov's theorem follows easily upon taking $1/n^2$ -roots.
- In short: quadratic estimates from spectral theory and AAK solve the problem.

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 The Parfenov-Prokhorov theorem draws attention to the largest domain of analyticity for *f*, say Ω, containing a given compact set *K*, where "largest" means that C(K, Ω) is minimal. This is defined up to the complement of a closed polar set only, but we can make it unique by taking the union of all such domains.

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- Existence of such an extremal domain was proved by H. Stahl in 1989. When the singular set of *f* is polar, it consists of countably many analytic arcs with branching plus a polar set.

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Theorem (H. Stahl[†], M.Yattselev, L.B., 2015)

Let f be analytic in $\Omega \subset \mathbb{C}$ and continuable indefinitely except over a polar set.

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 If there is no branchpoint convergence is faster than gometric, but asymptotic distribution of poles is unknown.

• Assume $C(K, \Omega) > 0$. We know that

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• Dwelling on Horn-Weyl inequalities we prove

$$\limsup_{n\to\infty} e_n^{1/n} > \exp\left\{\frac{-2}{C(K,\Omega)}\right\} \Longrightarrow \liminf_{n\to\infty} e_n^{1/n} < \exp\left\{\frac{-2}{C(K,\Omega)}\right\}.$$

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- This is done by analyzing the limit L, along a subsequence, of $(\log e_n)/n$ on the Riemann surface of f. We divide it in three subsets E^+ , E^- , E_0 where the limit is positive, negative or 0. The surface lies schlicht over G^- and saturated over G^+ . Balayaging the mass of L (a δ -subharmonic function) out of G^+ , G^- , we find thanks to schlichtness and Bagemihl-type arguments that the mass on G_0 is at most 2.

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- One has to connect poles in rational approximation with poles in meromorphic approximation.

Some experiments



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- We proved the conjecture in dimension 2 when the singular set is polar.

A sad note

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In memoriam Herbert Stahl, August 3, 1942–April 22, 2013.

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And most importantly

Thank you!

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