Vertex Degrees in Planar Maps

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* supported by the Austrian Science Foundation FWF, grant F50-02.

Random Trees and Maps: Probabilistic and Combinatorial Aspects CIRM, Luminy, June 6–10, 2016.



A **planar map** is a connected planar graph, possibly with loops and multiple edges, together with an embedding in the plane.

A map is **rooted** if a vertex v and an edge e incident with v are distinguished, and are called the root-vertex and root-edge, respectively. The face to the right of e is called the root-face and is usually taken as the **outer face**.

 M_n ... number of rooted maps with n edges [Tutte]

$$M_n = \frac{2(2n)!}{(n+2)!n!} 3^n$$

The proof is given with the help of generating functions and the socalled **quadratic method**.

Asymptotics:

$$M_n \sim c \cdot n^{-5/2} 12^n$$

Root degree and degree distribution

 $d_{n,k}$... probability that the root-vertex has degree k in a map with n edges (= probability that the outer face has valency k in a map with n edges – by duality)

 $d_k = \lim_{n \to \infty} d_{n,k}$

 $p_{n,k}$... probability that a randomly chosen vertex has degree k in a map with n edges (= probability that a randomly chosen face has valency k in a map with n edges)

 $p_k = \lim_{n \to \infty} p_{n,k}$

$$p_k = \frac{\mu}{k} d_k$$

The expected number of vertices of degree k is $\sim \mu_k n$, (where $\mu_k = v p_k$ for some constant v > 0).

Vertices of Given Degree

Theorem [D.+Panagiotou 2013]

 $X_n^{(k)}$... number of vertices of degree k in a random planar map with n edges.

Then we have

$$\mathbb{E}[X_n^{(k)}] = \mu_k n + O(1), \quad \mathbb{V}ar[X_n^{(k)}] = \sigma_k^2 n + O(1)$$

and

$$\frac{X_n^{(k)} - \mathbb{E}[X_n^{(k)}]}{(\mathbb{V}ar[X_n^{(k)}])^{1/2}} \to N(0,1).$$

Proof method. Analytic version of the quadratic method.

Universal Property

$$M_n \sim c \cdot n^{-5/2} \gamma^n$$

Class of maps	γ
Arbitrary	12
Eulerian	8
3-connected	4
Loopless	256/27
2-connected	27/4
Bipartite	8

[Banderier, Flajolet, Schaeffer, Soria] (for the $n^{-5/2}$ -property): 'This generic asymptotic form is "universal" in so far as it is valid for all known "natural families of maps".'

Theorem 1

 Ω ... an arbitrary set of positive integers, not a subset of $\{1,2\}$ \mathcal{M}_{Ω} ... planar rooted maps such that all vertex degrees are in Ω $M_{\Omega,n}$... number of maps in \mathcal{M}_{Ω} with n edges

Then there exist positive constants c_{Ω} and γ_{Ω} with

$$M_{\Omega,n} \sim c_{\Omega} n^{-5/2} \gamma_{\Omega}^{n}, \qquad n \equiv 0 \mod d,$$

where $d = \gcd\{i : 2i \in \Omega\}$ if Ω contains only even numbers, otherwise d = 1.

Remark 1. [Bender+Canfield 1993]: Ω subset of even integers

Remark 2. By duality the same theorem holds if *degree* is replaced by *face valency*.

Examples.

 $\Omega = \{3\}$... triangulations or 3-regular maps

 $\Omega = \{4\}$... quadrangulations or 4-regular maps

 $\Omega=2\mathbb{N}$... bipartiite or Eulerian maps

 $\Omega = \mathbb{N}$... all maps

. . .

 $\Omega = \mathbb{P} = \{2, 3, 5, 7, \ldots\}$... all degrees are prime numbers

Vertices of Given Degree

Theorem 2

 $X_n^{(k)}$... number of vertices of degree $k \ (\in \Omega)$ of maps in \mathcal{M}_{Ω} .

Then

$$\mathbb{E}[X_n^{(k)}] = \mu_k n + O(1), \quad \mathbb{V}ar[X_n^{(k)}] = \sigma_k^2 n + O(1) \qquad n \equiv 0 \mod d$$

and the random vector $\mathbf{X}_n = (X_n^{(k)})_{k \in \Omega}$ satisfies a **central limit the**orem:

$$rac{1}{\sqrt{n}}\left(\mathbf{X}_n - \mathbb{E}(\mathbf{X}_n)\right) o \mathbf{Z}, \qquad n \equiv 0 mod d$$

where Z (in ℓ^2) is a centered Gaussian random variable.

Remark. By duality the same theorem holds if *degree* is replaced by *face valency*.

Vertices of Given Degree

Weak convergence to a Gaussian random variable.

B ... separable Banach space (e.g. ℓ^2)

 \mathbf{Z}_n , \mathbf{Z} ... *B*-valued random variables

 P_n , P ... corresponding laws on B

Prohorovs theorem. $\mathbf{Z}_n \to \mathbf{Z}$ weakly if and only if

1.
$$\ell^*(\mathbf{Z}_n) \to \ell^*(\mathbf{Z})$$
 weakly for all $\ell^* \in B^*$

2. $\{P_n : n \in \mathbb{N}\}$ is tight.

Z is *Gaussian* if $\ell^*(\mathbf{Z})$ is Gaussian for all $\ell^* \in B^*$.

Definition.

A mobile is a planar tree – that is, a map with a single face – such that there are two kinds of vertices (black and white) with **no white-white edges**, and black vertices additionally have so-called "legs" attached to them (which are not considered edges), whose number equals the number of white neighbor vertices.

A **bipartite mobile** is a mobile without black–black edges.

The **degree** of a black vertex is the number of half-edges plus the number of legs that are attached to it.

A mobile is called **rooted** if an edge is distinguished and oriented.





Theorem [Cori+Vauquelin, Schaeffer, Bouttier+Di Francesco+Guitter, Bernardi+Fusy, Collet+Fusy, ...]

There is a **bijection** between **mobiles** that contain at least one black vertex and **pointed planar maps**, where *white vertices* in the mobile correspond to *non-pointed vertices* in the equivalent planar map, *black vertices* correspond to *faces* of the map, and the *degrees of the black vertices* correspond to the *face valencies*.

This bijection induces a *bijection on the edge sets* so that the number of edges is the same. (Only the pointed vertex of the map has no counterpart.)

Similarly, *rooted mobiles* that contain at least one black vertex are in bijection to *rooted and vertex-pointed planar maps*.

Finally, *bipartite mobiles* with at least two vertices correspond to *bipartite maps* with at least two vertices, in the unrooted as well as in the rooted case.







Bipartite Mobiles and Maps

• $R(t, z, x_2, x_4, ...)$... mobiles rooted at a white vertex and where an additional edge is attached to the root vertex.

Lemma

$$R = R(t, z, x_2, x_4, ...)$$
 satisfies the equation

$$R = tz + z \sum_{i \ge 1} x_{2i} \binom{2i-1}{i} R^i \bigg|.$$

Furthermore the generating function $M = M(t, z, x_2, x_4, ...)$ of **bipartite rooted maps** satisfies

$$\frac{\partial M}{\partial t} = 2\left(\frac{R}{z} - t\right) = \sum_{i \ge 1} x_{2i} \binom{2i-1}{i} R^i,$$

where the variable t corresponds to the number of vertices, z to the number of edges, and x_{2i} , $i \ge 1$, to the number of faces of valency 2i.

Bipartite Mobiles and Maps



Bipartite Mobiles and Maps

Proof

Splitting around the root edge gives

$$R = \frac{tz}{1 - z \sum_{i \ge 1} x_{2i} \binom{2i-1}{i} R^{i-1}}$$

Hence, the generating function of rooted mobiles that are rooted by a white vertex is and where we discount the mobile that consists just of one (white) vertex is given by

$$R/z - t = \sum_{i \ge 1} x_{2i} {\binom{2i-1}{i}} R^i.$$

The right hand side is precisely the generating function of rooted mobiles that are rooted at a black vertex.

Thus, by the above bijection

$$\frac{\partial M}{\partial t} = 2\left(R/z - t\right)$$

Mobiles and Maps

- $L(t, z, x_1, x_2, ...)$... mobiles rooted at a black vertex and where an additional edge is attached to the black vertex.
- $Q(t, z, x_1, x_2, ...)$... mobiles rooted at a univalent white vertex, which is not counted,
- $R(t, z, x_1, x_2, ...)$... mobiles rooted at a white vertex and where an additional edge is attached to the root vertex.

$$B_{\ell,m} = \binom{l+2m}{l,m,m}$$
$$B_{\ell,m}^{(+1)} = \binom{l+2m+1}{l,m,m+1}$$
$$\overline{B}_{\ell,m} = \frac{l+m}{l+2m}\binom{l+2m}{l,m,m}$$

Mobiles and Maps

Lemma

The generating functions $L = L(t, z, x_1, x_2, ...)$, $Q = Q(t, z, x_1, x_2, ...)$, and $R = R(t, z, x_1, x_2, ...)$ satisfy the system of equations

$$L = z \sum_{\ell,m} x_{2m+\ell+1} B_{\ell,m} L^{\ell} R^{m},$$

$$Q = z \sum_{\ell,m} x_{\ell+2m+2} B_{\ell,m}^{(+1)} L^{\ell} R^{m},$$

$$R = \frac{tz}{1-Q}.$$

Let $T = T(t, z, x_1, x_2, \ldots)$ be given by

$$T = 1 + \sum_{\ell,m} x_{2m+\ell} \overline{B}_{\ell,m} L^{\ell} R^m,$$

Then the generating function $M = M(t, z, x_1, x_2, ...)$ of **rooted maps** satisfies

$$\frac{\partial M}{\partial t} = R/z - t + T,$$

where the variable t corresponds to the number of vertices, z to the number of edges, and x_i , $i \ge 1$, to the number of faces of valency i.

$\Omega\text{-}\mathsf{Mobiles}$ and Maps

Set
$$x_i = 1$$
 for $i \in \Omega$ and $x_i = 0$ for $i \notin \Omega$.

Then $M = M(t, z, x_1, x_2, ...)$ is the corresponding generating function of Ω -maps (that is, all face valencies are contained in Ω).

Similarly we deal with Ω -mobiles by choosind x_i in this way for L, Q, and R.

In particular in the **bipartite case** we have to discuss the equation

$$R = \frac{tz}{1 - z \sum_{2i \in \Omega} {\binom{2i-1}{i}} R^{i-1}}$$

or equivalently the equation

$$R = tz + z \sum_{2i \in \Omega} {2i-1 \choose i} R^i.$$

(Folkore) Lemma [Bender, Canfield, Meir+Moon, ...]

Suppose that where F(z, y) has a power series expansion at (0, 0) with **non-negative coefficients** and F(0, 0) = 0, $F_{yy}(z, y) \neq 0$, $F_z(z, y) \neq 0$ and suppose that a power series $y(z) = \sum y_n z^n$ satisfies the functional equation

$$y(z) = F(z, y(z))$$

Let $y_0 > 0$ and $\rho > 0$ be defined by

$$y_0 = F(\rho, y_0), \quad 1 = F_y(\rho, y_0)$$

such that (ρ, y_0) is inside the region of convergence of F.

Then there exists analytic function $g(z), h(z) \neq 0$ such that locally

$$y(z) = g(z) - h(z)\sqrt{1 - \frac{z}{\rho}}.$$

Proof of Theoram 1

 $R = R_{\Omega}$ satisfies an equation of the form

$$R = tz + z \sum_{2i \in \Omega} {\binom{2i-1}{i}} R^i = F(t, z, R).$$

We have to show that there exist solutions $\rho > 0$, $R_0 > 0$ of the system of equations

$$R_0 = F(1, \rho, R_0), \qquad 1 = F_R(1, \rho, R_0),$$

which are **inside the range of convergence of** F:

$$R_{0} = \rho + \rho \sum_{2i \in \Omega} {\binom{2i-1}{i}} R_{0}^{i}, \quad 1 = \rho \sum_{2i \in \Omega} i {\binom{2i-1}{i}} R_{0}^{i-1},$$

Proof of Theoram 1 (continued)

After eliminating ρ we have to solve the equation

$$\sum_{2i\in\Omega} i\binom{2i-1}{i}R_0^i = 1 + \sum_{2i\in\Omega} \binom{2i-1}{i}R_0^i$$

which we can rewrite to

$$\sum_{2i\in\Omega}(i-1)\binom{2i-1}{i}R_0^i=1$$

If Ω is finite there is a unique $R_0 > 0$ (and all functions are polynomials).

If Ω is infinite we show that there is a (unique) positive solution $R_0 < 1/4$.

Proof of Theoram 1 (continued)

From

$$(i-1){2i-1 \choose i}\sim rac{4^i\sqrt{i}}{2\sqrt{\pi}}.$$

it follows that the the power series

$$x \mapsto H(x) = \sum_{2i \in \Omega} (i-1) \binom{2i-1}{i} x^i$$

has radius of convergence 1/4 and we also have

$$H(x) \to \infty$$
 as $x \to 1/4-x$

Hence there is $R_0 < 1/4$ with

$$H(R_0) = \sum_{2i\in\Omega} (i-1) \binom{2i-1}{i} R_0^i = 1$$

Finally, we set $\rho = \left(\sum_{2i\in\Omega} i \binom{2i-1}{i} R_0^{i-1}\right)^{-1}$.

Proof of Theoram 1 (continued)

We have

$$R(1,z) = g(z) - h(z)\sqrt{1 - \frac{z}{\rho}}$$

and similary we get

$$R(t,z) = g(t,z) - h(t,z)\sqrt{1 - \frac{z}{\rho(t)}}$$

for proper analystic function g(t,z), h(t,z), $\rho(t)$.

Proof of Theoram 1 (continued)

Since $\frac{\partial M}{\partial t} = 2(R/z - t)$ this implies a local representation of M(t, z) of the form

$$M(t,z) = \overline{g}(t,z) + \overline{h}(t,z) \left(1 - \frac{z}{\rho(t)}\right)^{3/2}$$

and consequently (by a proper transfer theorem)

$$M_{\Omega,n} = [z^n] M(1,z) \sim c_{\Omega} n^{-5/2} \gamma_{\Omega}^n$$

where $\gamma_{\Omega} = 1/\rho(1)$.

Central Limit Theorem

$$\mathbf{X}_n = (X_n^{(k)})_{k \in \Omega} \implies \ell^*(\mathbf{X}_n) = \sum_{k \in \Omega} \ell_k X_n^{(k)}$$

with $\ell^* = (\ell_k) \in B^*$.

$$\implies e^{is\ell^*(\mathbf{X}_n)} = \prod_{k \in \Omega} e^{is\ell_k X_n^{(k)}}$$

and consequently

$$\left[\sum_{n\geq 0} M_{\Omega,n} \mathbb{E}[e^{is\ell^*(\mathbf{X}_n)}] z^n = M\left(1, z, (e^{is\ell_k})_{k\in\Omega}\right)\right]$$

Proof of Theorem 2: weak convergence

For every fixed $\ell^* = (\ell_k) \in B^*$ we get (similarly to the above)

$$M\left(1, z, (e^{is\ell_k})_{k\in\Omega}\right) = \tilde{g}(s, z) + \tilde{h}(t, z) \left(1 - \frac{z}{\tilde{\rho}(s)}\right)^{3/2}$$

which implies

$$M_{\Omega,n}\mathbb{E}[e^{is\ell^*(\mathbf{X}_n)}] \sim c(s) n^{-5/2} \tilde{\rho}(s)^{-n}$$

and consequently

$$\mathbb{E}[e^{is\ell^*(\mathbf{X}_n)}] \sim \frac{c(s)}{c(1)} \left(\frac{\tilde{\rho}(1)}{\tilde{\rho}(s)}\right)^n$$

which proves a central limit theorem.

Theorem[D.+Gittenberger+Morgenbesser] (**tightness**)

Suppose that $y(z, (x_k)_{k\geq 0})$ is the unique solution of a single functional equation

$$y = F(z, y, (x_k)_{k \ge 0}),$$

where $F: B \times U \times V \to \mathbb{C}$ is a positive analytic function on $B \times U \times V \subseteq \mathbb{C}^2 \times \ell^2$ such that there exist positive real $(z_0, y_0) \in B \times U$ with $y_0 = F(z_0, y_0, 1)$ and $1 = F_y(z_0, y_0, 1)$ such that $F_z(z_0, y_0, 1) \neq 0$ and $F_{yy}(z_0, y_0, 1) \neq 0$. Furthermore assume that the corresponding random variables $X_n^{(k)}$ have the property that $X_n^{(k)} = 0$ if k > cn for some constant c > 0 and that the following conditions are satisfied:

$$egin{aligned} &\sum_{k\geq 0}F_{x_k}<\infty, \quad \sum_{k\geq 0}F_{yx_k}^2<\infty, \quad \sum_{k\geq 0}F_{x_kx_k}<\infty, \ &F_{zx_k}=o(1), \quad F_{zx_kx_k}=o(1), \quad F_{yyx_k}=o(1), \quad F_{yyx_kx_k}=o(1), \ &F_{zzx_k}=O(1), \quad F_{zyx_k}=O(1), \quad F_{zyyx_k}=O(1), \quad F_{yyyx_k}=O(1), \quad (k o\infty) \end{aligned}$$

where all derivatives are evaluated at $(z_0, y_0, 1)$.

Then the set of laws of $(\mathbf{X}_n - \mathbb{E}\mathbf{X}_n)/\sqrt{n}$, $n \ge 1$, where $\mathbf{X}_n = (X_n^{(k)})_{k>0}$ is **tight** and has a **Gaussian limit**.

Asymptotic enumeration of maps embedded on (orientable) surfaces of genus $g \ge 0$:

[Bender+Canfield 1986]

$$\overline{M_n^{(g)} \sim t_g \, n^{5(g-1)/2} \, 12^n},$$

where $M_n^{(g)}$ denotes the number of maps with n edges on a surface of genus g.

It seems that the kind of asymptotic behavior, in particular the exponent 5(g-1)/2 is (again) **universal**.

Definition

Given $g \ge 0$, a *g*-mobile is a one-faced map of genus g – embedded on the *g*-torus – such that there are **two kinds of vertices (black and white)** with **no white-white edges**, and black vertices additionally have so-called "legs" attached to them (which are not considered edges), whose number equals the number of white neighbor vertices.

Furthermore, for each cycle c of the g-mobile, let n_{\circ} , n_{\rightarrow} and n_{\circ} respectively be the numbers of white vertices on c, of legs dangling to the left of c and of white neighbours to the left of c. One has the following constraint: $n_{\rightarrow} = n_{\circ} + n_{\circ}$

The *degree* of a black vertex is the number of half-edges plus the number of legs that are attached to it.

A *bipartite* g-mobile is a g-mobile without black–black edges.

A g-mobile is called rooted if an edge is distinguished and oriented.



Theorem [Extension of Chapuy and Chapuy+Marcus+Schaeffer]

Given $g \ge 0$, there is a **bijection** between *g*-mobiles that contain at least one black vertex and **pointed maps of genus** *g*, where *white vertices* in the mobile correspond to *non-pointed vertices* in the equivalent map, *black vertices* correspond to *faces* of the map, and the *degrees of the black vertices* correspond to the *face valencies*. This bijection induces a bijection on the edge sets so that the number of edges is the same. (Only the pointed vertex of the map has no counterpart.)

Similarly, rooted g-mobiles that contain at least one black vertex are in bijection to rooted and vertex-pointed maps of genus g.

The *g*-scheme of a *g*-mobile is what remains when we apply the following operations: first remove all legs, then remove iteratively all vertices of degree 1 and finally replace any maximal path of degree-2-vertices by a single edge.



Bipartite Genus-*g*-Maps

A doubly-rooted bipartite planar mobile can be decomposed along a sequence of elementary cells forming the path between its two roots.

Definition

An **elementary cell** is a half-edge connected to a black vertex itself connected to a white vertex with a dangling half-edge. The white vertex has a sequence of black-rooted mobiles attached on each side. The black vertex has $j \ge 0$ legs and $k \ge 0$ white-rooted mobiles on its left, $l \ge 0$ and k+l-j+2 legs on its right, and its degree is 2(k+l+1). The *increment* of the cell is then k - j - 1.

Bipartite Genus-*g*-Maps

Generating functions

The generating series $P := P(t, z, (x_{2i}), s)$ of a cell, where s marks the increment, is:

$$P(t, z, (x_{2i}), s) = \frac{z^2 R^2}{t} \sum_{j,k,l \ge 0} {j+k \choose j} {k+2l-j+2 \choose l} s^{j-k-1} x_{2(k+l+2)} R^{k+l}$$
$$= \frac{z^2 R^2}{st} \hat{P},$$

where R is the generating function of 0-mobiles rooted at a white vertex (plus an extra edge).

The generating series $S := S(t, z, (x_{2i}), s)$ of a doubly-rooted mobile depends on the color of its roots (u, v) is given by:

$$S_{(u,v)}(t,z,(x_{2i}),s) = \begin{cases} \frac{1}{1-P} & \text{if } (u,v) = (\circ, \bullet) \text{ or } (\bullet, \circ), \\ \frac{z\widehat{P}}{1-P} & \text{if } (u,v) = (\circ, \circ), \\ \frac{zR^2}{st(1-P)} & \text{if } (u,v) = (\bullet, \bullet). \end{cases}$$

Bipartite Genus-*g*-Maps

Lemma

The generating series $R_S := R_S(t, z, (x_{2i}))$ of rooted bipartite g-mobiles with scheme S:

$$\begin{split} R_{S}(t,z,(x_{2i})) &= 2 \frac{z\partial}{\partial z} \frac{1}{2|E|} z^{|E|} t^{|V_{0}|} \left(\frac{R}{tz}\right)^{|C_{0}|} \\ &\times \sum_{(l_{c}) \text{ labelling}} \left[\prod_{e \in E} [s^{incr(e)}] S_{(e_{-},e_{+})} \prod_{v \in V_{\bullet}} \\ &\sum_{i_{1},\dots,i_{\deg(v)} \geq 0} \left(\prod_{k=1}^{\deg(v)} {2i_{k} + l_{c_{k+1}} - l_{c_{k}} + 1 \choose i_{k}} \right) x_{2(\deg(v) + \sum i_{k})} \right]. \end{split}$$

Consequently then generating series $M^{(g)} := M^{(g)}(t, z, (x_{2i}))$ for the family of rooted bipartite maps of genus g satisfies

$$\frac{\partial M^{(g)}}{\partial t} = \frac{2}{z} \sum_{\substack{S \text{ scheme} \\ \text{ of genus } g}} R_S(t, z, (x_{2i})).$$

Theorem

 Ω ... an arbitrary set of positive **even** integers, $\mathcal{M}_{\Omega}^{(g)}$... rooted g-maps such that all vertex degrees are in Ω $M_{\Omega,n}^{(g)}$... number of g-maps in \mathcal{M}_{Ω} with n edges

Then there exist positive constants $c_{\Omega}^{(g)}$ and $\gamma_{\Omega}^{(g)}$ with

$$M_{\Omega,n}^{(g)} \sim c_{\Omega}^{(g)} n^{-5/2} (\gamma_{\Omega}^{(g)})^n, \qquad n \equiv 0 \mod d$$

where $d = \gcd\{i : 2i \in \Omega\}$.

Furthermore let $X_n^{(k)}$ denote number of vertices of degree $k \ (\in \Omega)$ of maps in $\mathcal{M}_{\Omega}^{(g)}$. Then

$$\mathbb{E}[X_n^{(k)}] = \mu_k n + O(1), \quad \mathbb{V}ar[X_n^{(k)}] = \sigma_k^2 n + O(1) \qquad n \equiv 0 \mod d$$

and the random vector $\mathbf{X}_n = (X_n^{(k)})_{k \in \Omega}$ satisfies a **central limit the**orem:

$$rac{1}{\sqrt{n}}\left(\mathbf{X}_n - \mathbb{E}(\mathbf{X}_n)
ight) o \mathbf{Z}
ight|, \qquad n \equiv 0 mod d$$

where Z (in ℓ^2) is a centered Gaussian random variable.

Thank You!