

Representation theory effective ergodic theorems, and applications

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Representation Theory, Dynamics and Geometry

CIRM, Luminy

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**Based on joint work with Alex Gorodnik, and on joint work with
Anish Ghosh and Alex Gorodnik**

- **Talk I** : Averaging operators in dynamical systems and effective ergodic theorems

Plan

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- **Talk IV** : Fast equidistribution of dense lattice orbits, and best possible Diophantine approximation on homogeneous algebraic varieties

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- **Do the time averages converge ? If so, what is their limit ?**

Ergodicity and the ergodic hypothesis

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- **Boltzmann's Ergodic Hypothesis** : for an ergodic flow, the **time averages of an observable f converge to the space average** of f on phase space, namely to $\int_M f \, d\text{vol}_M$.

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- For the proof, von-Neumann utilized his recently established spectral theorem for unitary operators.

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- To conclude the proof that $\beta_t f \rightarrow \mathcal{E}_I f$ for every f , note first that if f is invariant, then $\beta_t f = f = \mathcal{E}_I f$ for all t ,
- and finally that the span of $\{a_s h - h; s \in \mathbb{R}, h \in \mathcal{H}\}$ is dense in the orthogonal complement of the space of invariants.

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- von-Neumann established this class as a common generalization of compact groups and Abelian groups by proving the existence of Haar measure for compact groups, and the existence of invariant means (Banach limits) for general Abelian groups.

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- Følner showed that the existence of an asymptotically invariant sequence is equivalent to the existence of an invariant mean, namely it characterizes meanable groups, subsequently renamed amenable groups.

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- We will briefly mention some of the results, but first let us introduce the general set-up of ergodic theorems and the averaging operators which will be our main subject below.

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- **Basic problem** : study the averaging operators

$$\pi_X(\beta_t)f(x) = \frac{1}{|B_t|} \int_{B_t} f(g^{-1}x) dm_G(g)$$

and their convergence properties

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- **The transference principle** which reduces the maximal inequality for the operators $\pi_X(\beta_t)$ in a **general action** to the maximal inequality for the convolution operators $r_G(\beta_t)$ in the **regular action** of the group on itself by translations, (Wiener 1939, Calderon 1952, Herz 1968, Coifman-Weiss 1974.....)

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- **Existence of invariant measures** for continuous actions of on compact metric spaces, and the resulting theory of equidistribution (Krylov-Bogliubov, 1950.....)

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- Corollary : in properly ergodic actions of amenable groups **no rate of convergence** to the ergodic mean can be established, in the operator norm.

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- We now turn to a systematic study of averaging operators which are strict contractions.

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- There does not exist a sequence of functions with zero mean and unit L^2 -norm, which is asymptotically G -invariant, namely for every $g \in G$, $\|\pi_X(g)f_k - f_k\| \rightarrow 0$.
- For every absolutely continuous symmetric generating probability measure β on G

$$\left\| \pi_X(\beta)f - \int_X f d\mu \right\| < (1 - \eta) \|f\|$$

for all $f \in L^2(X)$ and a fixed $\eta(\beta) > 0$.

Spectral gaps

Definition : An ergodic G -action has a *spectral gap* in $L^2(X)$ if one of the following two equivalent conditions hold.

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- Here generating means that the support of $\beta^* * \beta$ generates G as a semigroup.

Spectral estimates associated with a spectral gap

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- **Problem II.** $\|\pi_X(\beta_n)\|_{L_0^2(X)} \leq C\alpha^n$, for some $\alpha < 1$.

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- In fact, an even more remarkable property holds, namely the following strong uniform estimate.
- G has property T if and only if for every absolutely continuous generating measure β there exists $\alpha(\beta) < 1$, such that in every ergodic action of G on X , the following uniform operator norm estimate holds : $\|\pi_X(\beta)\|_{L^2_0(X)} \leq \alpha(\beta)$.

Results on spectral gaps and ergodic theorems

- The possibility of ergodic theorems with rate of convergence for actions of free groups with a spectral gap was first realized by the Lubotzky-Phillips-Sarnak construction of a **dense free group of isometries of \mathbb{S}^2 which has an optimal (!) spectral gap** (1985-6).

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- For groups acting simply transitively on the vertices of an \tilde{A}_2 building Cartwright-Mlotkowski-Steger (1993) have established property T directly and computed the norms of natural averages on the group explicitly. As a consequence one can obtain an effective ergodic theorem for these averages in general ergodic actions of the group.

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- For higher rank connected simple Lie groups with finite center the effective pointwise ergodic theorem for radial averages was established by Margulis+N+Stein 1999.

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- λ_t = uniform measures on $\Gamma \cap B_t = \Gamma_t$.

The mean and pointwise ergodic theorem

Thm. A. Effective ergodic theorems for lattice subgroups.

Gorodnik+N, '08.

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- If the Γ -action has a spectral gap then the effective mean ergodic theorem holds : for every $f \in L^p$, $1 < p < \infty$

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- We emphasize that this result holds for **all Γ -actions**. The only connection to the original embedding of Γ in the group G is in the definition of the sets Γ_t .

Further comments

- In particular, if Γ has property T , then the **ultimate effective mean and pointwise ergodic theorems** hold in every ergodic measure-preserving action, namely convergence takes place with a fixed uniform rate $\theta_p = \theta_p(G) > 0$ independent of X .

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- Specializing further, in every action of Γ on a **finite homogeneous space** X , we have the following norm bound for the averaging operators

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- Clearly, this estimate goes well beyond the contraction property guaranteed by the spectral gap, and furthermore it holds uniformly over families of finite-index subgroups provided the group satisfies property T , or more generally the subgroups satisfy Lubotzky-Zimmer property τ .

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- Tempelman has proved [mean ergodic theorems for averages on semisimple Lie group](#) using spectral theory, namely the Howe-Moore vanishing of matrix coefficients theorem (1980's).

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- Both Thm. A and Thm. B have more general versions applying to lattice in general semisimple S -algebraic groups over local fields.