On some problems and techniques in spectral geometry

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January 8, 2016

Outline of the talk

- 1. Background on Riemannian geometry
- 2. Examples of problems in spectral geometry

- 3. Basic strategy to handle these questions
- 4. Relationship with the geodesic flow
- 5. An idea of proof

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Rem: setting $\partial_x = (\partial_{x_1}, \ldots, \partial_{x_n})$,

$$\Delta_g = p(x, \partial_x) +$$
lower order terms (in ∂_x)

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$$L := -\Delta_g$$

Fact: If M is compact, there is an orthonormal basis of $L^2(M, dv_g)$ of eigenfunctions of L:

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Classical data: principal symbol, geodesic flow,...

in the semiclassical (or high energy) limit $\lambda_j \to \infty$.

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Question How small is $\epsilon(h)$?

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as long as $b - a \gg \tilde{\epsilon}(N_h)$ and with $\tilde{\epsilon}(N_h)$ either

$$O(N_h^{-\frac{1}{n}}) \qquad o(N_h^{-\frac{1}{n}}) \qquad O\left(\frac{N_h^{-\frac{1}{n}}}{\log N_h}\right)$$

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(think of $\chi(-h^2\Delta)$ on \mathbb{R}^n whose kernel is of the form $h^{-n}f\left(\frac{x-y}{h}\right)$ with $f \in \mathcal{S}(\mathbb{R})$)

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• There are log improvments in negative curvature and stronger ones in arithmetic cases (Iwaniec-Sarnak): if $M = \Gamma \setminus \mathbb{H}^2$ and e_h are Hecke eigenfunctions, then

$$||e_h||_{\infty} \lesssim_{\epsilon} h^{-\frac{5}{12}-\epsilon} ||e_h||_2$$

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Open question: can one get similar improvment in non arithmetic cases ?

Basic strategy

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One can then retrieve information on $\rho_{\epsilon(h)}(L_h - \lambda)\chi(L_h)$ by using stationary phase asymptotics in

$$h^{-d(D)} \int_{\mathbb{R}} \int_{\mathbb{R}^D} e^{\frac{i}{h} \left(F(t,x,y,Z) - t\lambda \right)} A(t,x,y,Z,h) \hat{\rho} \left(\frac{t}{T(h)} \right) dZ dt$$

or for the trace

$$h^{-1-d(D)} \int_{M} \int_{\mathbb{R}} \int_{\mathbb{R}^{D}} e^{\frac{i}{h} \left(F(t,x,x,Z) - t\lambda \right)} A(t,x,x,Z,h) \hat{\rho}\left(\frac{t}{T(h)}\right) dZ dt dv_{g}(x)$$

In practice

$$A_k = O(e^{k\gamma|t|})$$

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Consequence: such approximations are in general limited to $|t| \le c \log(1/h)$

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where $\Gamma_{(z,\zeta)}^t: T_{z^t}M^{\mathbb{C}} \to T_{z^t}M^{\mathbb{C}}$ is complex linear, symmetric with positive definite imaginary part, and solves

$$\nabla_{\dot{z}^t} \Gamma^t_{(z,\zeta)} = -R_{z^t}(.,\dot{z}^t) \dot{z}^t - \left(\Gamma^t_{(z,\zeta)}\right)^2, \qquad \Gamma^0_{(z,\zeta)} = \mathrm{i}(g^{jk}(z)) + \text{real correction}$$

where R_{z^t} is the Riemann tensor at z^t

$$\left\langle \widetilde{\Gamma_{(z,\zeta)}^{0}} W_{z}^{y}, W_{z}^{y} \right\rangle_{z} = -\operatorname{Re}\left\langle \Gamma_{(z,\zeta)}^{0} W_{z}^{y}, W_{z}^{y} \right\rangle_{z} + \operatorname{i} \operatorname{Im} \left\langle \Gamma_{(z,\zeta)}^{0} W_{z}^{y}, W_{z}^{y} \right\rangle_{z}$$

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$$y = \exp_z(W_z^y)$$

Theorem [Propagator approximation] (B. 2016+) Let $\psi \in C^{\infty}(M)$ be supported in a coordinate patch U. The integral kernel of $e^{-i\frac{t}{h}L_h}\chi(L_h)\psi$ is well approximated by

$$K_h(t,x,y) = h^{-\frac{3n}{2}} \int \int_{T^*U} A(t,x,y,z,\zeta,h) \exp \frac{\mathrm{i}}{h} F(t,x,y,z,\zeta) dz d\zeta$$

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An idea of proof: the Bérard bound

Stationary phase in the integral

$$h^{-\frac{3n}{2}-1} \int \int \int \hat{\rho}\left(\frac{t}{T(h)}\right) A(t, x, x, z, \zeta, h) \exp \frac{\mathrm{i}}{h} \left(t\lambda - F(t, x, x, z, \zeta)\right) dt dz d\zeta dv_g(x)$$

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 $\frac{\text{Main contribution of non trivial periods:}}{O(h^{-\frac{n}{2}-O(\varepsilon)-1})} \text{ if } T(h) = \varepsilon \log(1/h) \text{ it is (at most)}$

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Thank you for your attention

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Thank you for your attention and happy new year

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Some problems in spectral geometry

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Some problems in spectral geometry

Let (M,g) be a Riemannian manifold of dimension n. Let dv_g be the natural measure and Δ_g be the Laplace-Beltrami operator, in local coordinates

$$dv_{g} = |g(x)|dx_{1}\cdots dx_{n}, \qquad |g(x)| := \det(g_{jk}(x))^{1/2}$$
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$$\Delta_g = \sum_{j,k} g^{jk}(x) \partial_{x_j} \partial_{x_k} - \sum_{i,j,k} g^{jk}(x) \Gamma^i_{jk}(x) \partial_{x_i}$$

where $(g^{jk}(x)) = (g_{jk}(x))^{-1}$ and $g = \sum_{j,k} g_{jk}(x) dx_j dx_k$.

Proposition. If M is closed (and connected), there exists an orthonormal basis $(\varphi_j)_{j\geq 0}$ of eigenfunctions of Δ_g

$$-\Delta_g \varphi_j = \lambda_j \varphi_j, \qquad 0 = \lambda_0 < \lambda_1 \le \lambda_2 \le \cdots, \qquad \lambda_j \uparrow +\infty.$$

Simple example: on \mathbb{R}^n

$$\chi(x)f(-h^2\Delta)v(x) = (2\pi h)^{-n} \int \int e^{\frac{1}{h}(x-y)\cdot\xi}\chi(x)f(|\xi|^2)v(y)dyd\xi$$

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Canonical example: gaussian wave packet

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$$\psi_{z,\zeta}(x) = \pi^{-\frac{n}{4}} \exp\left(\mathrm{i}\zeta \cdot (x-z) - \frac{|x-z|^2}{2}\right), \qquad x \in \mathbb{R}^n$$

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1. One can write "waves" (i.e. functions) as superposition of wave packets

Canonical example: gaussian wave packet

$$\psi_{z,\zeta}(x) = \pi^{-\frac{n}{4}} \exp\left(\mathrm{i}\zeta \cdot (x-z) - \frac{|x-z|^2}{2}\right), \qquad x \in \mathbb{R}^n$$

localized around (or centered at) z in space, and near ζ in momentum

$$(\mathcal{F}\psi_{z,\zeta})(\xi) = \pi^{-\frac{n}{4}} \exp\left(-\mathrm{i}z \cdot \xi - \frac{|\xi-\zeta|^2}{2}\right)$$

Think of (z, ζ) as a point in phase space $T^* \mathbb{R}^n$

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Main interests (for us) :

- 1. One can write "waves" (i.e. functions) as superposition of wave packets
- 2. The evolution of a wave packet under a Schrödinger flow can be described rather explicitly (in a suitable regime)

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1. Wave packet decomposition



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Define the **Bargmann transform** of a function u by

$$Bu(z,\zeta) = \int_{\mathbb{R}^n} \overline{\psi_{z,\zeta}(x)} u(x) dx$$

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In other words

$$u(x) = (2\pi)^{-n} \int \int_{\mathcal{T}^*\mathbb{R}^n} (Bu)(z,\zeta)\psi_{z,\zeta}(x)dzd\zeta$$

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is a decomposition of u as a (continuous) sum of wave packets

2. Evolution of wave packets under the Schrödinger equation

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Then

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where

$$(z_{\nu}^{t},\zeta_{\nu}^{t})=\Phi_{\rho_{\nu}}^{t}(z,\zeta), \qquad S_{\nu}^{t}=\int_{0}^{t}\dot{z}_{\nu}^{s}\cdot\zeta_{\nu}^{s}-p_{\nu}(z_{\nu}^{s},\zeta_{\nu}^{s})ds$$

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and γ_{ν}^{t} , Γ_{ν}^{t} are given in term of the differential of flow $\Phi_{p_{\nu}}^{t}$,

$$D\Phi^t_{\rho_{\nu}}(z,\zeta) = \begin{pmatrix} A^t_{\nu} & B^t_{\nu} \\ C^t_{\nu} & D^t_{\nu} \end{pmatrix},$$

by

$$\Gamma_{\nu}^{t} = (C_{\nu}^{t} + iD_{\nu}^{t})(A_{\nu}^{t} + iB_{\nu}^{t})^{-1}, \qquad \gamma_{\nu}^{t} = \det(A_{\nu}^{t} + iB_{\nu}^{t})^{-1/2}$$

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Explicitly, we obtain

$$\Gamma_{0}^{t} = \frac{t+i}{1+t^{2}}I_{n}, \qquad \gamma_{0}^{t} = (1+it)^{-\frac{n}{2}}$$

$$\Gamma_{1}^{t} = iI_{n}, \qquad \gamma_{1}^{t} = (\cos t + i\sin t)^{-\frac{n}{2}}$$

$$\Gamma_{-1}^{t} = \frac{\sinh(2t) + i}{\cosh(2t)}I_{n}, \qquad \gamma_{-1}^{t} = (\cosh t + i\sinh t)^{-\frac{n}{2}}$$

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This allows in particular to read the profile and spreading of the packets:

$$\begin{aligned} |e^{itH_0}\psi_{z,\zeta}(x)| &= \frac{1}{(\pi(1+t^2))^{\frac{n}{4}}}\exp\left(-\frac{|x-z_0^t|^2}{2(1+t^2)}\right) \\ |e^{itH_1}\psi_{z,\zeta}(x)| &= \frac{1}{\pi^{\frac{n}{4}}}\exp\left(-\frac{|x-z_1^t|^2}{2}\right) \\ |e^{itH_{-1}}\psi_{z,\zeta}(x)| &= \frac{1}{(\pi\cosh(2t))^{\frac{n}{4}}}\exp\left(-\frac{|x-z_{-1}^t|^2}{2\cosh(2t)}\right) \end{aligned}$$

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From now on, we use a semiclassical normalization

$$\psi_{z,\zeta}^h(x) = (\pi h)^{-\frac{n}{4}} \exp\left(\frac{\mathrm{i}}{h}\zeta \cdot (x-z) - \frac{|x-z|^2}{2h}\right)$$

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with $V \in C^{\infty}(\mathbb{R}^n, \mathbb{R})$.

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Proposition [action of the symplectic group on the Siegel half space] $A^t + iB^t$ is invertible and

$$\Gamma^t := (C^t + \mathrm{i}D^t)(A^t + \mathrm{i}B^t)^{-1}$$

is symmetric complex, with positive definite imaginary part

Theorem (Hagedorn-Joye, Combescure-Robert) In the limit $h \rightarrow 0$, and under general conditions on V,

 $e^{-\mathrm{i}\frac{t}{h}H(h)}\psi^h_{z,\zeta}(x)$

is well approximated by

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for times $|t| \leq C_0 |\ln h|$ (C₀ dynamical constant). Here $\gamma^t = det(A_t + iB_t)^{-1/2}$.

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for times $|t| \leq C_0 |\ln h|$ (C_0 dynamical constant). Here $\gamma^t = det(A_t + iB_t)^{-1/2}$. The amplitude is of the form

$$\mathcal{A}_t^h(x) \sim 1 + \sum_{j \geq 1} h^{rac{j}{2}} \mathcal{A}_j\left(z,\zeta,t,rac{x-z^t}{h^{rac{1}{2}}}
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with $A_j(z, \zeta, t, X)$ polynomial of degree $\leq 3j$ in X, with coeff. depending on the classical trajectory $t \mapsto (z^t, \zeta^t)$ and the Taylor expansion of V at z^t

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Lemma The matrix Γ^t satisfies the Ricatti equation

$$\dot{\Gamma}^t = -V^{(2)}(z^t) - (\Gamma^t)^2, \qquad \Gamma^0 = \mathrm{i}I_n,$$

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Then

$$H(h)\gamma^{t}e^{\frac{i}{h}\varphi} = \left[\left(\dot{\varphi} + \frac{\nabla_{x}\varphi \cdot \nabla_{x}\varphi}{2} + V(x)\right) - ih\left(\frac{\dot{\gamma^{t}}}{\gamma^{t}} + \frac{\Delta\varphi}{2}\right)\right]\gamma^{t}e^{\frac{i}{h}\varphi}$$

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Rem: on \mathbb{R}^n , $W_z^m = m - z$.

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$$\left\langle \Gamma^{t}X,Y\right\rangle _{z^{t}}=\left\langle X,\Gamma^{t}Y
ight
angle _{z^{t}},\qquad X,Y\in T_{z^{t}}M$$

has positive definite imaginary part

$$\operatorname{Im}\left\langle \Gamma^{t}X,X\right\rangle _{z^{t}}>0,\qquad X\neq0,\ X\in T_{z^{t}}M$$

and satisfies the Ricatti equation

$$\nabla_{\dot{z}^{t}}\Gamma^{t} = -\mathrm{Hess}(V)_{z^{t}} - R_{z^{t}}(., \dot{z}^{t})\dot{z}^{t} - (\Gamma^{t})^{2}$$

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where R_{z^t} is the Riemann tensor at z^t

Proof.

To construct Γ^t on \mathbb{R}^n , we have used the natural identifications

 $T_{(z,\zeta)}(T^*\mathbb{R}^n) = \mathbb{R}^n \oplus \mathbb{R}^n, \qquad T_{(z^t,\zeta^t)}(T^*\mathbb{R}^n) = \mathbb{R}^n \oplus \mathbb{R}^n$

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using the (symplectic) coordinates $(y_1, \ldots, y_n, \eta_1, \ldots, \eta_n)$ on T^*U

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and split along horizontal and vertical spaces

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$$T_{(z^t,\dot{z}^t)}(\mathcal{I}_g T^*M) = \mathcal{H}_{(z^t,\dot{z}^t)} \oplus \mathcal{V}_{(z^t,\dot{z}^t)}$$

This gives a natural block decomposition

$$d(\mathcal{I}_{g} \circ \Phi^{t}) = \begin{pmatrix} \mathcal{L}_{A} & \mathcal{L}_{B} \\ \mathcal{L}_{C} & \mathcal{L}_{D} \end{pmatrix} : \mathbb{R}_{y}^{n} \oplus \mathbb{R}_{\eta}^{n} \to \mathcal{H}_{(z^{t}, \dot{z}^{t})} \oplus \mathcal{V}_{(z^{t}, \dot{z}^{t})}$$

Proof (continued). One can then define

$$\left(\mathcal{L}_{C}+\mathrm{i}\mathcal{L}_{D}\right)\left(\mathcal{L}_{A}+\mathrm{i}\mathcal{L}_{B}\right)^{-1}:\mathcal{H}_{\left(z^{t},\dot{z}^{t}\right)}^{\mathbb{C}}\rightarrow\mathcal{V}_{\left(z^{t},\dot{z}^{t}\right)}^{\mathbb{C}}$$

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More concretely, using local coordinates (x_1, \ldots, x_n) near z^t , the matrix of Γ^t reads

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$$G^{-1} = (g^{ij}(x^t)), \qquad \Sigma_{ij}^t = \sum_{k,l} g_{kl}(x^t) \Gamma_{ij}^l(x^t) \dot{x}_k^t, \qquad x^t = x(z^t)$$

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$$\begin{pmatrix} A^t & B^t \\ C^t & D^t \end{pmatrix} = \begin{pmatrix} \partial x^t / \partial y & \partial x^t / \partial \eta \\ \partial \xi^t / \partial y & \partial \xi^t / \partial \eta \end{pmatrix}$$

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 \implies Symmetry of Γ^t ,

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and

$$\begin{pmatrix} A^t & B^t \\ C^t & D^t \end{pmatrix} = \begin{pmatrix} \partial x^t / \partial y & \partial x^t / \partial \eta \\ \partial \xi^t / \partial y & \partial \xi^t / \partial \eta \end{pmatrix}$$

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Proof (continued). One can then define

$$\left(\mathcal{L}_{C}+\mathrm{i}\mathcal{L}_{D}\right)\left(\mathcal{L}_{A}+\mathrm{i}\mathcal{L}_{B}\right)^{-1}:\mathcal{H}_{\left(z^{t},\dot{z}^{t}\right)}^{\mathbb{C}}\rightarrow\mathcal{V}_{\left(z^{t},\dot{z}^{t}
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and then define Γ^t by composition with the natural isomorphisms

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$$G^{-1}(\tilde{C}^t + \tilde{D}^t Z)(\tilde{A}^t + \tilde{B}^t Z)^{-1} - G^{-1}\Sigma^t, \qquad Z = \left(\frac{\partial \tilde{\eta}}{\partial y} + i\frac{\partial \tilde{\eta}}{\partial \eta}\right) \left(\frac{\partial \tilde{y}}{\partial y} + i\frac{\partial \tilde{y}}{\partial \eta}\right)^{-1}$$

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Definition of gaussian wave packets

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Definition of gaussian wave packets Let $\rho \in C_0^{\infty}(-r_0, r_0)$, equal to 1 near 0.

$$\Psi_{z,\zeta}^{h}(m) := (\pi h)^{-\frac{n}{4}} \gamma^{0} \exp \frac{\mathrm{i}}{h} \left(\zeta \cdot W_{z}^{m} + \frac{1}{2} \langle \Gamma^{0} W_{z}^{m}, W_{z}^{m} \rangle_{z} \right) \rho\left(d_{g}(z,m) \right),$$

for $m \in M$ and $(z, \zeta) \in T^*U$ (i.e. $\zeta \in T^*_z U$)

$$\gamma^0 = det(g_{jk}(y(z)))^{-rac{1}{4}}$$

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$$(2\pi h)^{-n} \int \int_{T^*U} B_h u(z,\zeta) \Psi^h_{z,\zeta} dz d\zeta = a(h) u$$

Theorem [Propagation of gaussian wave packets]

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Remark on the proof: The transport equations

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$$(\nabla_{\dot{z}^{t}}T)(\underbrace{\dots,\dots}_{k \text{ factors}}) + \underbrace{T[\Gamma^{t}\dots] + \dots + T[\dots,\Gamma^{t}]}_{k \text{ terms}} = F[\dots,\dots]$$

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which turns out to be equivalent to

$$\frac{d}{dt}\left(T[E_t\cdot,\ldots,E_t\cdot]\right)=F[E_t\cdot,\ldots,E_t\cdot]$$

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with $E_t := d\pi(\mathcal{L}_A + i\mathcal{L}_B) : \mathbb{C}^n \to T_{z^t} M \otimes \mathbb{C}$ $(d\pi = \text{projection from the horizontal space at } (z^t, \dot{z}^t)$ to the tangent space at z^t)

 \implies Control on the exponential growth in time of $T_i(t, z^t, \zeta^t, .)$.

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Theorem [Propagator approximation] If A_h is a pseudodifferential operator supported in U, with principal symbol χ , then (the kernel of) $e^{-i\frac{t}{h}H(h)}A_h$ is well approximated by

$$\mathcal{K}_t^h(m,m') = h^{-\frac{3n}{2}} \int \int_{\mathcal{T}^* U} b_h(t,z,\zeta,m,m') \exp \frac{\mathrm{i}}{h} F(t,z,\zeta,m,m') dz d\zeta$$

for times $|t| \leq C_0 |\log h|$.



Theorem [Propagator approximation] If A_h is a pseudodifferential operator supported in U, with principal symbol χ , then (the kernel of) $e^{-i\frac{L}{h}H(h)}A_h$ is well approximated by

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The amplitude $b_h(t, z, \zeta, m, m')$ reads $b_0(t, z, \zeta, m, m') + O_t(h^{1/2})$,

$$b_0 = \det((g_{jk}(x^t))^{1/2}(A^t + iB^t))^{-\frac{1}{2}}\det(g_{jk}(y)))^{-\frac{1}{4}}\chi(z,\zeta)\rho(d_g(z,m'))\rho(d_g(z^t,m))$$

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Proof:

$$e^{-i\frac{t}{h}H(h)}A_{h}u = (2\pi h)^{-n} \int \int_{T^{*}U} e^{-i\frac{t}{h}H(h)}\Psi_{z,\zeta}^{h} \left\langle A_{h}^{*}a_{h}^{-1}\Psi_{z,\zeta}^{h}, u \right\rangle_{L^{2}(M)} dz d\zeta$$

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Thank you for your attention