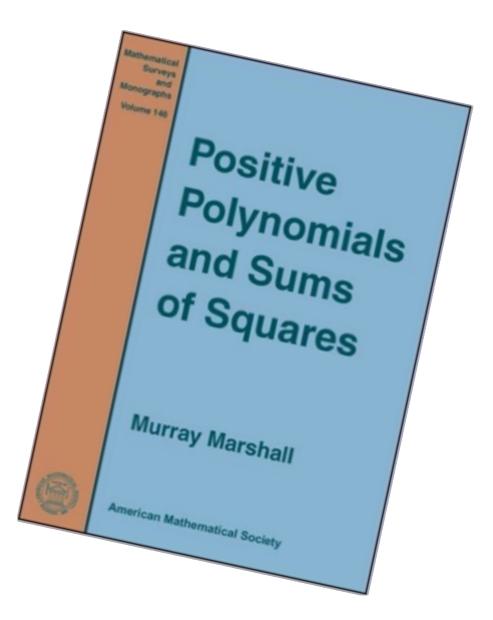
Positive Polynomials according to Murray Marshall





Daniel Plaumann

Ordered Algebraic Structures and Related Topics Luminy, 16 October 2015

Universität Konstanz

Murray Marshall's work on positive polynomials p. 1/2

- 1. M. Ghasemi, M. Infusino, S. Kuhlmann, M. Marshall, A continuous moment problem for locally convex spaces, in preparation.
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- 6. M. Ghasemi, J.B. Lasserre, M. Marshall, Lower bounds on the global minimum of a polynomial, Computational Optimization and Applications, 57 (2014) 387–402.
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- 8. M. Ghasemi, M. Marshall, Sven Wagner, Closure of the cone of sums of 2*d*-powers in certain weighted ℓ_1 -seminorm topologies, Canad. Math. Bull., 57, no 2, (2014) 289-302.
- 9. M. Ghasemi, M. Marshall, Lower bounds for polynomials using geometric programming, SIAM Journal on Optimization, 22 (2012) 460–473.
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- 12. J. Cimprič, M. Marshall, T. Netzer, On the real multidimensional rational K-moment problem, Transactions AMS, 363 (2011) 5773–5788.
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- 16. M. Marshall, Representation of non-negative polynomials, degree bounds and applications to optimization, Canad. J. Math., 61 (2009) 205–221.
- M. Marshall, Positive polynomials and sums of squares, AMS Math. Surveys and Monographs 146 (2008) 187+xii pages.
- 18. M. Marshall, *Representation of non-negative polynomials with finitely many zeros*, Annales de la Faculte des Sciences Toulouse 15 (2006)
- 19. M. Marshall, Error estimates in the optimization of degree two polynomials on a discrete hypercube, SIAM Journal on Optimization, 16 (2005)
- S. Kuhlmann, M. Marshall, N. Schwartz, Positivity, sums of squares and the multi-dimensional moment problem II, Advances in Geometry,5(2005)583-606
- M. Marshall, Approximating Positive Polynomials Using Sums Of Squares, Can. math. bulletin, 46 (2003) 400-418
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- 23. S. Kuhlmann, M. Marshall, Positivity, sums of squares and the multi-dimensional moment problem, TAMS 354 (2002), 4285-4301
- 24. M. Marshall, A General Representation Theorem For Partially Ordered Commutative Rings, Math. Zeitschrift 242 (2002), 217-225
- 25. M. Marshall, Extending The Archimedean Positivstellensatz To The Non-Compact Case, Can. math. bulletin, 44 (2001) 223-230
- 26. M. Marshall, A Real Holomorphy Ring Without The Schmüdgen Property, Can. math. bulletin, 42 (1999) 354-35

Murray's magic to finish the proof

$$f(x,y) \ge \epsilon(x)(1+y^2)^d$$

$$f_1(x,y) := f(x,y) - \epsilon(x)(1+y^2)^d$$

$$f_1(x,y) =$$

$$\sum_{k=1}^{\ell} (\sum_{j=1}^2 h_{0jk}(x,y)^2 + \sum_{j=1}^2 h_{1jk}(x,y)^2 x + \sum_{j=1}^2 h_{2jk}(x,y)^2(1-x)) + \sum_{i=0}^{2d} b_i(x)y^i,$$

 $b_i(x) \in \mathbb{R}[x], |b_i(x)| \leq \frac{2}{5}\epsilon(x) \text{ on } [0,1], i = 0, \dots, 2d.$ Combining this with $f(x,y) = f_1(x,y) + \epsilon(x)(1+y^2)^d$ yields $f(x,y) = s_1(x,y) + s_2(x,y) + s_3(x,y)$, where

$$s_1(x,y) := \sum_{k=1}^{\ell} \left(\sum_{j=1}^{2} h_{0jk}(x,y)^2 + \sum_{j=1}^{2} h_{1jk}(x,y)^2 x + \sum_{j=1}^{2} h_{2jk}(x,y)^2 (1-x)\right),$$

$$s_2(x,y) := \frac{2}{5}\epsilon(x)(2+y+3y^2+y^3+3y^4+\dots+y^{2d-1}+2y^{2d}) + \sum_{i=0}^{2d} b_i(x)y^i,$$

$$s_3(x,y) := \epsilon(x)[(1+y^2)^d - \frac{2}{5}(2+y+3y^2+y^3+3y^4+\dots+y^{2d-1}+2y^{2d})].$$

Murray's magic to finish the proof

Let T denote the preordering of $\mathbb{R}[x,y]$ generated by x(1-x). As pointed out earlier, $x, 1-x \in T$. Clearly $s_1(x,y) \in T$. The argument in [3, Th. 5.1] shows that $s_2(x,y) \in T$. In more detail, since $|b_i(x)| \leq \frac{2}{5}\epsilon(x)$ on $[0,1], \frac{2}{5}\epsilon(x) \pm b_i(x) \in T$, by [3, Th. 2.2] or [4, Prop. 2.7.3], for $i = 0, \dots, 2d$. This yields

(5.1)
$$\frac{2}{5}\epsilon(x)y^i + b_i(x)y^i \in T, \text{ for } i \text{ even.}$$

For *i* odd, say i = 2m + 1, use the identity $y^{2m+1} = \frac{1}{2}y^{2m}((y+1)^2 - y^2 - 1)$ plus the fact that $\frac{2}{5}\epsilon(x)y^{2m}(y+1)^2 + b_i(x)y^{2m}(y+1)^2$, $\frac{2}{5}\epsilon(x)y^{2m}y^2 - b_i(x)y^{2m}y^2$ and $\frac{2}{5}\epsilon(x)y^{2m} - b_i(x)y^{2m}$ all belong to *T* to obtain (5.2) $\frac{2}{5}\epsilon(x)(y^{i+1} + y^i + y^{i-1}) + b_i(x)y^i \in T$, for *i* odd.

Adding together the various terms of type (5.1) and (5.2), for $i = 0, \dots, 2d$, we see that $s_2(x, y) \in T$.

Murray's magic to finish the proof

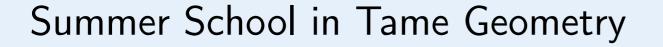
The fact that $s_3(x, y)$ belongs to T follows from the identity

$$(1+y^2)^d - \frac{2}{5}(2+y+3y^2+y^3+3y^4+\dots+y^{2d-1}+2y^{2d})$$

= $(1+y^2)^d + \frac{1}{5}(1+y^2+\dots+y^{2d-2})(1-y)^2$
 $-\frac{8}{5}(y^2+y^4+\dots+y^{2d-2}) - (1+y^{2d})$
= $\frac{1}{5}(1+y^2+\dots+y^{2d-2})(1-y)^2 + \sum_{i=1}^{d-1}(\binom{d}{i} - \frac{8}{5})y^{2i}.$

This means, finally, that $f(x, y) = s_1(x, y) + s_2(x, y) + s_3(x, y) \in T$.





University of Konstanz, July 18-23 2016

Tutorials on topics in real geometry, o-minimal geometry and tame geometry, given by Philipp Hieronymi (University of Illinois, Urbana-Champaign), Tobias Kaiser (University of Passau), Margarita Otero (Universidad Autónoma de Madrid), Ya'acov Peterzil (University of Haifa), Daniel Plaumann (University of Konstanz), Margaret Thomas (University of Konstanz), as well as survey lectures on surrounding topics.

Organisers: Pantelis Eleftheriou (Konstanz), Salma Kuhlmann (Konstanz), Daniel Plaumann (Konstanz), Jonathan Pila (Oxford), Margaret Thomas (Konstanz)

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