# Orderings and R-places of function fields

#### Katarzyna Kuhlmann (joint work with P. Koprowski)

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Luminy, October 2015

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Space of orderings and  $\mathbb{R}$  - places

For any formally real field *K* we denote:

X(K) - the space of orderings of K with the Harrison topology,

M(K) - the space of  $\mathbb{R}$ -places of K with the quotient topology inherited from the space X(K).

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X(K(x))  $\rightleftharpoons$  C(K)

Harrison topology homeomorphism order topology

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 $B_S(a) = \{b \in K : v(b-a) > S\},\$ 

where  $a \in K$  and *S* is a lower cut set in *vK*.

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For  $B = B_S(a)$  define:

 $B^-$  the cut in *K* with the lower cut set  $\{a \in K : a < B\}$ ,

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The cuts defined above we call **ball cuts**.

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Theorem (F.-V. Kuhlmann, M. Machura, K. K., 2010) Let  $C_1 < C_2$  be cuts in K. The corresponding orderings of K(x)determine the same  $\mathbb{R}$ -place iff  $C_1 = B^-$  and  $C_2 = B^+$  for some ultrametric ball B in K.

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If the field *K* is Archimedean, then we obtain a topological circle as the space of  $\mathbb{R}$ -places of *K*(*x*).

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 $M(K(x)) \cong \mathcal{C}(K)/_{\sim}$  ,

 $C_1 \sim C_2$  iff  $C_1$  and  $C_2$  are ball cuts of the same ultrametric ball.

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 $a \mapsto a + c$ ,

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induce maps on C(K) preserving equivalence  $\sim$ .

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,  $a \mapsto ca$ ,  $a \mapsto 1/a$ ,

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This implies that the space M(K(x)) carries a lot of self-similarities.

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*F* - a function field of trdeg 1 over the real closed field *K*.

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A strong (Euclidean) topology on  $\gamma$  is generated by the subbasis:

 $f^{-1}(K^+), f \in F.$ 

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How do the orderings of the function field *F* correspond to the structure of the curve  $\gamma$ ?

M. Knebusch On algebraic curves over real closed fields (I and II)

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M. Knebusch *On algebraic curves over real closed fields* (I and II)  $\mathcal{H}(F \mid K) = \{f \in F \mid f(p) \neq \infty \text{ for every } p \in \gamma\}$  $p_1 \sim p_2 \Leftrightarrow \neg \exists f \in U(\mathcal{H}(F \mid K)); f(p_1)f(p_2) < 0$ 

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M. Knebusch *On algebraic curves over real closed fields* (I and II)  $\mathcal{H}(F \mid K) = \{f \in F \mid f(p) \neq \infty \text{ for every } p \in \gamma\}$   $p_1 \sim p_2 \Leftrightarrow \neg \exists f \in U(\mathcal{H}(F \mid K)); f(p_1)f(p_2) < 0$ Let  $\gamma_1, ..., \gamma_n$  be the distinct equivalence classes.

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$$\gamma = \gamma_1 \dot{\cup} ... \dot{\cup} \gamma_n \, .$$

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$$\gamma = \gamma_1 \dot{\cup} ... \dot{\cup} \gamma_n$$
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For every  $\gamma_i$  there is a function  $\eta_i \in F$  such that

$$sgn(\eta_i(p)) = \begin{cases} -1 & \text{if } p \in \gamma_i \\ 1 & \text{if } p \notin \gamma_i \end{cases}$$

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The function  $\eta_i$  is determined uniquely up to multiplication by SOS.

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On every component  $\gamma_i$  one can choose an orientation and with this orientation  $\gamma_i$  becomes cyclically ordered.

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For every interval  $(p,q) \subset \gamma_i$  there is a function  $\chi_{(p,q)} \in F$  such that

$$sgn(\chi_{(p,q)}(r)) = \begin{cases} -1 & \text{if } r \in (p,q) \\ 0 & \text{if } r \in \{p,q\} \\ 1 & \text{if } r \notin [p,q] \end{cases}.$$

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The function  $\chi_{(p,q)}$  is called an interval function for (p,q) and it is determined uniquely up to SOS.

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The topology on  $\gamma$  induced by the order topology on every component  $\gamma_i$  coincides with the strong topology.

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#### Theorem

For every  $f \in F$  and every  $\gamma_i$  there is a finite number of points  $p_1 < ... < p_n$  on  $\gamma_i$  such that f is definite and monotonic on the intervals  $(p_1, p_2), ..., (p_{n-1}, p_n), (p_n, p_1)$ .

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On every component  $\gamma_i$  we choose a point  $\infty_i$ .

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Definition *A* cut on  $\gamma_i$  is a pair (L, U) of subsets of  $\gamma_i$  such that: (1)  $\gamma_i = L \cup U \cup \{\infty_i\},\$ 

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Theorem *Every cut* (L, U) *of*  $\gamma_i$  *corresponds to some ordering of* F*.* 

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**Theorem** Every cut (L, U) of  $\gamma_i$  corresponds to some ordering of F.

$$\begin{aligned} P_{(L,U)} &= \{ f \in F \mid \\ \exists l \in L \cup \{\infty_i\} \exists u \in U \cup \{\infty_i\} \forall p \in (l,u) : f(p) > 0 \}. \end{aligned}$$

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Principal cuts for  $p \in \gamma_i$ :

$$p^{-} = ((\infty_i, p), [p, \infty_i)) \text{ and } p^{+} = ((\infty_i, p], (p, \infty_i)).$$

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The corresponding orderings  $P_{p^-}$  and  $P_{p^+}$  induce one and the same  $\mathbb{R}$ -place, which is the composition of the *K*-rational place associated with p and the natural place of K.

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Denote

$$X_{princ}(F) = \{P_{p^-}, P_{p^+} \mid p \in \gamma\}.$$

Theorem (A. Prestel, *Lectures on Formally Real Fields*, Th.9.9.)  $X_{princ}(F)$  is dense in X(F).

Take  $P \in X(F)$ .

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Take  $P \in X(F)$ . Define  $\eta := \eta_1 \cdot \ldots \cdot \eta_n$ .

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Take  $P \in X(F)$ . Define  $\eta := \eta_1 \cdot ... \cdot \eta_n$ . For every  $p \in \gamma$  we have  $\eta(p) < 0$ .

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Take  $P \in X(F)$ . Define  $\eta := \eta_1 \cdot ... \cdot \eta_n$ . For every  $p \in \gamma$  we have  $\eta(p) < 0$ . Therefore  $-\eta$  is SOS in *F*.

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#### Lemma

*For every*  $P \in X(F)$  *there is exactly one Knebusch component*  $\gamma_i$  *such that*  $-\eta_i \in P$ .

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#### Lemma

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This component we call associated with the ordering *P*.

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Proposition *Take*  $P \in X(F)$  *with associated Knebusch component*  $\gamma_i$ *. Let* 

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Proposition *Take*  $P \in X(F)$  *with associated Knebusch component*  $\gamma_i$ *. Let* 

$$L = \{ p \in \gamma_i \mid \chi_{(\infty_i, p)} \in P \},\$$

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Proposition *Take*  $P \in X(F)$  *with associated Knebusch component*  $\gamma_i$ *. Let* 

$$L = \{ p \in \gamma_i \mid \chi_{(\infty_i, p)} \in P \},\$$

$$U = \{ p \in \gamma_i \mid \chi_{(p,\infty_i)} \in P \}.$$

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$$L = \{ p \in \gamma_i \mid \chi_{(\infty_i, p)} \in P \},\$$

$$U = \{ p \in \gamma_i \mid \chi_{(p,\infty_i)} \in P \}.$$
  
Then  $(L, U)$  is a cut on  $\gamma_i$  and  $P_{(L,U)} = P$ .

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We obtain a bijection

 $b: X(F) \to \mathcal{C}(\gamma)$ .

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 $C(\gamma)$  carries the topology of the union of cyclically ordered sets. Take  $C_1 < C_2 \in C(\gamma_i)$ . Then

$$b^{-1}((C_1, C_2)) = \bigcup_{p,q \in U_1 \cap L_2} H(-\chi(p,q)).$$

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#### Theorem

*The space of orderings of F is homeomorphic to the space of cuts of*  $\gamma$ *.* 

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Take  $x \in F \setminus K$ . Then *x* is transcendental over *K* and  $K(x) \subset F$ .

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Take  $x \in F \setminus K$ . Then *x* is transcendental over *K* and  $K(x) \subset F$ .

Take a cut *C* of a component  $\gamma_i$  of  $\gamma$ .

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The component  $\gamma_i$  can be divided into a finite number of intervals such that the function *x* is monotonic on each of them. The cut *C* belongs to exactly one of these intervals.

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In that way we obtain a function

 $res_x: C(\gamma) \to C(K)$ 

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In that way we obtain a function

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and the following commuting diagram:

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where horizontal maps are homeomorphisms.

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We have the following characterization of ball cuts in *K*:

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We have the following characterization of ball cuts in *K*:

Take a cut *C* in *K* and the corresponding ordering *P* of K(x).

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Take a cut *C* in *K* and the corresponding ordering *P* of K(x). Let  $v_C$  be the natural valuation of *P* with value group  $\Gamma_C$ .

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*C* is a ball cut  $\Leftrightarrow [\Gamma_C : 2\Gamma_C] = 2$ .

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Fact If (L, v) / (K, v) is a finite extension of valued fields then [vL : 2vL] = [vK : 2vK].

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Orderings and R-places of function fields
Take a cut *C* of  $\gamma$  and  $x \in F \setminus K$  and assume that  $res_x(C)$  is a ball cut in *K*.



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That implies that for any  $y \in F \setminus K$  we have  $[\Gamma_{res_yC} : 2\Gamma_{res_yC}] = 2$ , so  $res_y(C)$  is also a ball cut in *K*.

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#### **Definition** *A cut C of* $\gamma$ *is called a ball cut if* $res_x(C)$ *is a ball cut for every* $x \in F \setminus K$ .

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Assume that we have chosen proper coordinates and we have an embedding of  $\gamma$  in  $K^m$ .

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Assume that we have chosen proper coordinates and we have an embedding of  $\gamma$  in  $K^m$ . The vector space  $K^m$  is an ultrametric space with the ultrametric distance:

$$d(p,q) = \min\{v(p_1 - q_1), ..., v(p_m - q_m)\} = \frac{1}{2}v(\sum(p_i - q_i)^2),$$

for 
$$p = (p_1, ..., p_m)$$
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For every ultrametric ball *B* in  $K^m$  we consider the sets  $B \cap \gamma$  and  $B^c \cap \gamma$ .

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For every ultrametric ball *B* in  $K^m$  we consider the sets  $B \cap \gamma$  and  $B^c \cap \gamma$ . If both sets are nonempty, then we obtain cuts on the curve.

#### Theorem

Every ball cut on  $\gamma$  is induced by some ultrametric ball in  $K^m$ .



Take two cuts  $C_1$  and  $C_2$  on  $\gamma$ .

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 $\mathbb{R}$  - places of *F* 

Take two cuts  $C_1$  and  $C_2$  on  $\gamma$ .

Assume that the corresponding orderings  $P_1$  and  $P_2$  of F determine different  $\mathbb{R}$ -places:  $\lambda(P_1)$  and  $\lambda(P_2)$ .

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Then there is  $x \in F \setminus K$  such that  $\lambda(P_1)(x) > 0$  and  $\lambda(P_2)(x) < 0$ .

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Then there is  $x \in F \setminus K$  such that  $\lambda(P_1)(x) > 0$  and  $\lambda(P_2)(x) < 0$ .

Thus  $\lambda(P_1) \mid_{K(x)} \neq \lambda(P_2) \mid_{K(x)}$  and therefore  $res_x(C_1) \nsim res_x(C_2)$ .

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#### Theorem

Let  $C_1$  and  $C_2$  be two ball cuts on  $\gamma$ . The corresponding orderings determine the same  $\mathbb{R}$ -place of F iff for every  $x \in F \setminus K$  the cuts  $res_x(C_1)$  and  $res_x(C_2)$  are ball cuts of the same ultrametric ball.

#### Thank you very much for your attention!

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