The analogue of Hilbert's 1888 Theorem for even symmetric forms

Charu Goel University of Konstanz



(Joint with Salma Kuhlmann and Bruce Reznick)

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Outline

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1. Hilbert's 1888 Theorem

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$$\begin{split} R(x,y,z) &:= x^{6} + y^{6} + z^{6} - (x^{4}y^{2} + y^{4}z^{2} + z^{4}x^{2} + x^{2}y^{4} + y^{2}z^{4} + z^{2}x^{4}) + 3x^{2}y^{2}z^{2} \in \mathcal{P}_{3,6} \setminus \Sigma_{3,6}, \\ W(x,y,z,w) &:= x^{2}(x-w)^{2} + (y(y-w) - z(z-w))^{2} + 2yz(x+y-w)(x+z-w) \in \mathcal{P}_{4,4} \setminus \Sigma_{4,4} \end{split}$$

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(Choi and Lam, 1976)

$$\begin{split} &Q(x,y,z,w) := w^4 + x^2y^2 + y^2z^2 + z^2x^2 - 4xyzw \in \mathcal{P}_{4,4} \setminus \Sigma_{4,4}, \\ &S(x,y,z) = x^4y^2 + y^4z^2 + z^4x^2 - 3x^2y^2z^2 \in \mathcal{P}_{3,6} \setminus \Sigma_{3,6} \end{split}$$

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• i.e. Known answer to $\mathcal{Q}(S^e)$:

$deg \setminus var$	2	3	4	5	
2	\checkmark	\checkmark	\checkmark	\checkmark	
4	\checkmark	\checkmark	\checkmark	\checkmark	
6	\checkmark	×	×	×	
8	\checkmark	\checkmark	×	?	?
10	\checkmark	×	?	?	?
12	\checkmark	?	?	?	?
:	:	?	?	?	?

where, a tick (\checkmark) denotes a positive answer to $\mathcal{Q}(S^e)$, a cross (\times) denotes a negative answer to $\mathcal{Q}(S^e)$, and a (?) denotes an unknown answer to $\mathcal{Q}(S^e)$.

• To get a complete answer to $\mathcal{Q}(S^e)$, look at:

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2.1. Analogue of Hilbert's 1888 Theorem for Even Symmetric forms

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 - $f \in S\mathcal{P}_{n,8}^e \setminus S\Sigma_{n,8}^e$ for $n \geq 5$,
 - $g \in S\mathcal{P}_{n,10}^e \setminus S\Sigma_{n,10}^e$ for $n \geq 4$,

- ► Theorem (G., Kuhlmann, Reznick): $S\mathcal{P}_{n,2d}^e = S\Sigma_{n,2d}^e$ if and only if n = 2 or d = 1 or $(n, 2d) = (n, 3)_{n \ge 4}$ or (3, 8).
- Proof:
 - ▶ give a "Reduction to Basic Cases: $(n, 8)_{n \ge 5}, (n, 2d)_{n \ge 4, d=5,6}$ " by
 - finding appropriate indefinite irreducible even symmetric forms,
 - ▶ giving a Degree jumping principle to find psd not sos even symmetric *n*-ary forms of degree $\begin{cases} 2d + 4r \text{ (for integer } r \ge 2), \text{ and} \\ 2d + 2n \end{cases}$

- construct explicit forms
 - $f \in S\mathcal{P}_{n,8}^e \setminus S\Sigma_{n,8}^e$ for $n \geq 5$,
 - $g \in S\mathcal{P}_{n,10}^e \setminus S\Sigma_{n,10}^e$ for $n \geq 4$,
 - $h \in S\mathcal{P}_{n,12}^e \setminus S\Sigma_{n,12}^e$ for $n \ge 4$.

▶ Lemma 1 (G. Kuhlmann, Reznick): For $n \ge 3$, the even symmetric real forms $p_n := 4 \sum_{j=1}^n x_j^4 - 17 \sum_{1 \le i < j \le n} x_i^2 x_j^2$ and $q_n := \sum_{j=1}^n x_j^6 + 3 \sum_{1 \le i \ne j \le n} x_i^4 x_j^2 - 100 \sum_{1 \le i < j < k \le n} x_i^2 x_j^2 x_k^2$ are irreducible over \mathbb{R} .

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- Let $\Delta_{n,2d} := S\mathcal{P}^{e}_{n,2d} \setminus S\Sigma^{e}_{n,2d}$

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• Let
$$\Delta_{n,2d} := S\mathcal{P}^{e}_{n,2d} \setminus S\Sigma^{e}_{n,2d}$$

 Theorem (G. Kuhlmann, Reznick) [Degree Jumping Principle]: Suppose f ∈ Δ_{n,2d} for n ≥ 3, then

 (i) for any integer r ≥ 2, the form p_n^{2a}q_n^{2b}f ∈ Δ_{n,2d+4r}, where r = 2a + 3b; a, b ∈ Z₊,
 (ii) (x₁...x_n)²f ∈ Δ_{n,2d+2n}.

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Theorem (G. Kuhlmann, Reznick) [Degree Jumping Principle]:

Suppose $f \in \Delta_{n,2d}$ for $n \ge 3$, then (i) for any integer $r \ge 2$, the form $p_n^{2a}q_n^{2b}f \in \Delta_{n,2d+4r}$, where r = 2a + 3b; $a, b \in \mathbb{Z}_+$, (ii) $(x_1 \dots x_n)^2 f \in \Delta_{n,2d+2n}$.

Proof follows from above Lemmas.

▶ Proposition (G., Kuhlmann, Reznick) [Reduction to basic cases]: If $\Delta_{n,2d} \neq \emptyset$ for $(n, 8)_{n \ge 4}$, $(n, 10)_{n \ge 3}$ and $(n, 12)_{n \ge 3}$, then $\Delta_{n,2d} \neq \emptyset$ for $(n, 2d)_{n \ge 3, d \ge 7}$.

- Proposition (G., Kuhlmann, Reznick) [Reduction to basic cases]: If Δ_{n,2d} ≠ Ø for (n, 8)_{n≥4}, (n, 10)_{n≥3} and (n, 12)_{n≥3}, then Δ_{n,2d} ≠ Ø for (n, 2d)_{n≥3,d≥7}.
- Proof:

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Proof:

$deg \setminus var$	2	3
2; 4	\checkmark	\checkmark
6	\checkmark	×
8	\checkmark	\checkmark
10	\checkmark	×
12	\checkmark	
14	\checkmark	
16	\checkmark	
18	\checkmark	
	÷	

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$deg \setminus var$	2	3
2; 4	\checkmark	\checkmark
6	\checkmark	×
8	\checkmark	\checkmark
10	\checkmark	×
12	\checkmark	$\times^{(2)}_{6+6}$
14	\checkmark	
16	\checkmark	
18	\checkmark	
:	:	

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Proof:

$deg \setminus var$	2	3
2; 4	\checkmark	\checkmark
6	\checkmark	×
8	\checkmark	\checkmark
10	\checkmark	×
12	\checkmark	$\times^{(2)}_{6+6}$
14	\checkmark	$ imes^{(1)}_{6+8}$
16	\checkmark	
18	\checkmark	
:	:	

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$deg \setminus var$	2	3
2; 4	\checkmark	\checkmark
6	\checkmark	×
8	\checkmark	\checkmark
10	\checkmark	×
12	\checkmark	$\times^{(2)}_{6+6}$
14	\checkmark	$\times^{(1)}_{6+8}$
16	\checkmark	$\times^{(2)}_{10+6}$
18	\checkmark	$\times^{(2)}_{12+6}$
	:	

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Proof:

2	3
\checkmark	\checkmark
\checkmark	×
\checkmark	\checkmark
\checkmark	×
\checkmark	$\times^{(2)}_{6+6}$
\checkmark	$\times^{(1)}_{6+8}$
\checkmark	$\times^{(2)}_{10+6}$
\checkmark	$\times^{(2)}_{12+6}$
:	(2)
	2 ✓ ✓ ✓ ✓ ✓ ✓ ✓ ✓ ✓ ✓ ✓ ✓ ✓

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- Proof:

$deg \setminus var$	2	3	4
2; 4	\checkmark	\checkmark	\checkmark
6	\checkmark	×	×
8	\checkmark	\checkmark	×
10	\checkmark	×	
12	\checkmark	$\times^{(2)}_{6+6}$	
14	\checkmark	$ imes_{6+8}^{(1)}$	
16	\checkmark	$\times^{(2)}_{10+6}$	
18	\checkmark	$\times^{(2)}_{12+6}$	
:	:	(2) (+6)	

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- ► Proof:

$deg \setminus var$	2	3	4
2; 4	\checkmark	\checkmark	\checkmark
6	\checkmark	×	×
8	\checkmark	\checkmark	×
10	\checkmark	×	
12	\checkmark	$\times^{(2)}_{6+6}$	
14	\checkmark	$\times^{(1)}_{6+8}$	$\times^{(1)}_{6+8}$
16	\checkmark	$\times^{(2)}_{10+6}$	$\times^{(1)}_{8+8}$
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$deg \setminus var$	2	3	4
2; 4	\checkmark	\checkmark	\checkmark
6	\checkmark	×	×
8	\checkmark	\checkmark	×
10	\checkmark	×	
12	\checkmark	$\times^{(2)}_{6+6}$	
14	\checkmark	$\times^{(1)}_{6+8}$	$ imes_{6+8}^{(1)}$
16	\checkmark	$\times^{(2)}_{10+6}$	$ imes^{(1)}_{8+8}$
18	\checkmark	$\times^{(2)}_{12+6}$	$\times^{(1)}_{6+12}$
	:	(2) (+6)	$\left \begin{array}{c} (1) \\ \cdot \\ +4r \end{array} \right $

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- Proof:

$deg \setminus var$	2	3	4	5	
2; 4	\checkmark	\checkmark	\checkmark	\checkmark	
6	\checkmark	×	×	×	
8	\checkmark	\checkmark	×	×	
10	\checkmark	×			
12	\checkmark	$\times^{(2)}_{6+6}$			
14	\checkmark	$ imes_{6+8}^{(1)}$	$ imes_{6+8}^{(1)}$	$ imes_{6+8}^{(1)}$	
16	\checkmark	$\times^{(2)}_{10+6}$	$\times^{(1)}_{8+8}$	$ imes^{(1)}_{8+8}$	
18	\checkmark	$ imes_{12+6}^{(2)}$	$ imes_{6+12}^{(1)}$	$ imes_{6+12}^{(1)}$	
:	:	(2) : ₊₆	$\left \begin{array}{c} (1) \\ +4r \end{array} \right $	$\left \begin{array}{c} (1) \\ +4r \end{array} \right $	·

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- Proof:

deg \setminus var	2	3	4	5	
2; 4	\checkmark	\checkmark	\checkmark	\checkmark	
6	\checkmark	×	×	×	
8	\checkmark	\checkmark	×	×	
10	\checkmark	×	×	×	
12	\checkmark	$\times^{(2)}_{6+6}$	×	×	
14	\checkmark	$ imes_{6+8}^{(1)}$	$ imes_{6+8}^{(1)}$	$ imes_{6+8}^{(1)}$	
16	\checkmark	$ imes_{10+6}^{(2)}$	$ imes^{(1)}_{8+8}$	$ imes^{(1)}_{8+8}$	
18	\checkmark	$\times^{(2)}_{12+6}$	$ imes_{6+12}^{(1)}$	$ imes_{6+12}^{(1)}$	
	:	(2) (+6)	(1) = +4r	$(1)_{+4r}$	·

For $m \ge 2$, let

$$L_{2m+1} := m(m+1) \sum_{i < j} (x_i - x_j)^4 - \Big(\sum_{i < j} (x_i - x_j)^2\Big)^2$$

- For $m \ge 2$, let $L_{2m+1} := m(m+1) \sum_{i < j} (x_i - x_j)^4 - \left(\sum_{i < j} (x_i - x_j)^2\right)^2$
- Let $M_r(x_1,\ldots,x_n) := x_1^r + \ldots + x_n^r$ for an integer $r \ge 1$.

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- Let $M_r(x_1,\ldots,x_n) := x_1^r + \ldots + x_n^r$ for an integer $r \ge 1$.
- ► Theorem (G., Kuhlmann, Reznick): 1. For $m \ge 2$, $G_{2m+1} := L_{2m+1}(x_1^2, \dots, x_{2m+1}^2) \in \Delta_{2m+1,8}$,

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- Let $M_r(x_1,\ldots,x_n) := x_1^r + \ldots + x_n^r$ for an integer $r \ge 1$.
- Theorem (G., Kuhlmann, Reznick):
 1. For m ≥ 2, G_{2m+1} := L_{2m+1}(x₁²,...,x_{2m+1}²) ∈ Δ_{2m+1,8},
 2. For m ≥ 2, D_{2m} := L_{2m+1}(x₁²,...,x_{2m}², 0) ∈ Δ_{2m,8}.

- For $m \ge 2$, let $L_{2m+1} := m(m+1) \sum_{i < j} (x_i - x_j)^4 - \left(\sum_{i < j} (x_i - x_j)^2\right)^2$
- Let $M_r(x_1,\ldots,x_n) := x_1^r + \ldots + x_n^r$ for an integer $r \ge 1$.
- ► Theorem (G., Kuhlmann, Reznick): 1. For $m \ge 2$, $G_{2m+1} := L_{2m+1}(x_1^2, \dots, x_{2m+1}^2) \in \Delta_{2m+1,8}$, 2. For $m \ge 2$, $D_{2m} := L_{2m+1}(x_1^2, \dots, x_{2m}^2, 0) \in \Delta_{2m,8}$. 3. For $n \ge 4$, $T_n(x_1, \dots, x_n) := M_2(M_2^3 - 5M_2M_4 + 6M_6) \in \Delta_{n,8}$.

- For $m \ge 2$, let $L_{2m+1} := m(m+1) \sum_{i < j} (x_i - x_j)^4 - \left(\sum_{i < j} (x_i - x_j)^2\right)^2$
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- For $m \ge 2$, let $L_{2m+1} := m(m+1) \sum_{i < j} (x_i - x_j)^4 - \left(\sum_{i < j} (x_i - x_j)^2\right)^2$
- Let $M_r(x_1,\ldots,x_n) := x_1^r + \ldots + x_n^r$ for an integer $r \ge 1$.

► Theorem (G., Kuhlmann, Reznick):

 For $m \ge 2$, $G_{2m+1} := L_{2m+1}(x_1^2, \dots, x_{2m+1}^2) \in \Delta_{2m+1,8}$,
 For $m \ge 2$, $D_{2m} := L_{2m+1}(x_1^2, \dots, x_{2m}^2, 0) \in \Delta_{2m,8}$.
 For $n \ge 4$, $T_n(x_1, \dots, x_n) := M_2(M_2^3 - 5M_2M_4 + 6M_6) \in \Delta_{n,8}$.
 For $n \ge 4$, $P_n(x_1, \dots, x_n) := (nM_4 - M_2^2)(M_2^3 - 5M_2M_4 + 6M_6)$ $\in \Delta_{n,10}$.
 For $n \ge 3$, $R_n(x_1, \dots, x_n) := (M_2^3 - 3M_2M_4 + 2M_6)(M_2^3 - 5M_2M_4 + 6M_6)$ $\in \Delta_{n,12}$.

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Thank you for your attention!