## Some remarks regarding ergodic operators

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## Some remarks regarding ergodic operators

(joint with S. Grivaux)

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Say that an operator  $T \in \mathfrak{L}(X)$ 

Say that an operator  $T \in \mathfrak{L}(X)$  is ergodic

Say that an operator  $T \in \mathfrak{L}(X)$  is ergodic if it admits an ergodic probability measure

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Say that an operator  $T \in \mathfrak{L}(X)$  is ergodic if it admits an ergodic probability measure with full support

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Basic question.

Say that an operator  $T \in \mathfrak{L}(X)$  is ergodic if it admits an ergodic probability measure with full support ( $\mu(V) > 0$  for every open set  $V \neq \emptyset$ ).

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Basic question. How can we see

Say that an operator  $T \in \mathfrak{L}(X)$  is ergodic if it admits an ergodic probability measure with full support ( $\mu(V) > 0$  for every open set  $V \neq \emptyset$ ).

**Basic question.** How can we *see* that an operator is or is not ergodic?

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## 1. Ergodicity and frequent hypercyclicity

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Recall that an operator  $T \in \mathfrak{L}(X)$  is frequently hypercyclic

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for every  $V \subseteq X$  open  $\neq \emptyset$ 

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 $\underline{\operatorname{dens}} \ \mathcal{N}_{\mathcal{T}}(x_0, V) > 0 \qquad \text{for every } V \subseteq X \text{ open } \neq \emptyset$ 

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 $ergodic \implies$  frequently hypercyclic



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(If  $\mu$  is an ergodic measure for T with full support, then  $\mu$ -almost every  $x_0 \in X$  satisfies: dens  $\mathcal{N}_T(x_0, V) \ge \mu(V) > 0$  for every open  $V \neq \emptyset$ .

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 $ergodic \implies$  frequently hypercyclic

(If  $\mu$  is an ergodic measure for T with full support, then  $\mu$ -almost every  $x_0 \in X$  satisfies: dens  $\mathcal{N}_T(x_0, V) \ge \mu(V) > 0$  for every open  $V \neq \emptyset$ . Follows from the pointwise ergodic theorem.)

Say that  $T \in \mathfrak{L}(X)$  is fffrequently hypercyclic

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for every *V* open  $\neq \emptyset$ 

$$\mathcal{N}_{\mathcal{T}}(x_0, V)$$
 for every  $V$  open  $eq \emptyset$ 

$$\mathcal{N}_{\mathcal{T}}(x_0, V) - r$$
 for every  $V$  open  $\neq \emptyset$ 

$$\bigcup_{r=0}^{N} (\mathcal{N}_{\mathcal{T}}(x_0, V) - r) \quad \text{for every } V \text{ open } \neq \emptyset$$

$$\underline{\operatorname{dens}} \bigcup_{r=0}^{N} \left( \mathcal{N}_{T}(x_{0}, V) - r \right) \qquad \text{for every } V \text{ open } \neq \emptyset$$

$$\lim_{N\to\infty} \underline{\mathrm{dens}} \, \bigcup_{r=0}^N \left( \mathcal{N}_T(x_0, V) - r \right)$$

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$$\lim_{N \to \infty} \underline{\operatorname{dens}} \bigcup_{r=0}^{N} (\mathcal{N}_{T}(x_{0}, V) - r) = 1 \quad \text{for every } V \text{ open } \neq \emptyset$$

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$$\lim_{N \to \infty} \underline{\operatorname{dens}} \bigcup_{r=0}^{N} (\mathcal{N}_{\mathcal{T}}(x_0, V) - r) = 1 \quad \text{for every } V \text{ open } \neq \emptyset$$

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Theorem 1.

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$$\mathsf{FFFHC} \Longrightarrow \mathsf{FHC}$$

**Theorem 1.** Assume that X is a reflexive Banach space

$$\lim_{N \to \infty} \underline{\operatorname{dens}} \bigcup_{r=0}^{N} (\mathcal{N}_{T}(x_{0}, V) - r) = 1 \quad \text{for every } V \text{ open } \neq \emptyset$$

$$\mathsf{EFEHC} \longrightarrow \mathsf{EHC}$$

**Theorem 1.** Assume that X is a reflexive Banach space and that  $T \in \mathfrak{L}(X)$  is invertible.

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**Theorem 1.** Assume that X is a reflexive Banach space and that  $T \in \mathfrak{L}(X)$  is invertible. Then, T is ergodic

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**Theorem 1.** Assume that X is a reflexive Banach space and that  $T \in \mathfrak{L}(X)$  is invertible. Then, T is ergodic if and only it is fffrequently hypercyclic.

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$$\lim_{N \to \infty} \underline{\operatorname{dens}} \bigcup_{r=0}^{N} (\mathcal{N}_{\mathcal{T}}(x_0, V) - r) = 1 \quad \text{for every } V \text{ open } \neq \emptyset$$

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Remark 1.

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Remark 1. Not very "effective":

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Remark 1. Not very "effective": how to check fffrequent hypercyclicity??

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**Remark 1.** Not very "effective": how to check fffrequent hypercyclicity?? **Remark 2.** If T is "just" frequently hypercyclic,

$$\lim_{N \to \infty} \underline{\operatorname{dens}} \bigcup_{r=0}^{N} (\mathcal{N}_{T}(x_{0}, V) - r) = 1 \quad \text{for every } V \text{ open } \neq \emptyset$$

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**Remark 1.** Not very "effective": how to check fffrequent hypercyclicity?? **Remark 2.** If T is "just" frequently hypercyclic, not necessarily invertible,

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**Remark 1.** Not very "effective": how to check fffrequent hypercyclicity?? **Remark 2.** If T is "just" frequently hypercyclic, not necessarily invertible, then T admits an invariant measure with full support.

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**Remark 1.** Not very "effective": how to check fffrequent hypercyclicity?? **Remark 2.** If T is "just" frequently hypercyclic, not necessarily invertible, then T admits an invariant measure with full support. In fact,

$$\lim_{N \to \infty} \underline{\operatorname{dens}} \bigcup_{r=0}^{N} (\mathcal{N}_{T}(x_{0}, V) - r) = 1 \quad \text{for every } V \text{ open } \neq \emptyset$$

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$$\lim_{N \to \infty} \underline{\operatorname{dens}} \bigcup_{r=0}^{N} (\mathcal{N}_{T}(x_{0}, V) - r) = 1 \quad \text{for every } V \text{ open } \neq \emptyset$$

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**Remark 1.** Not very "effective": how to check fffrequent hypercyclicity?? **Remark 2.** If *T* is "just" frequently hypercyclic, not necessarily invertible, then *T* admits an invariant measure with full support. In fact, *T* admits such a measure if and only if the following holds: for every open set  $V \neq \emptyset$ , one can find  $x_V \in X$  such that dens  $\mathcal{N}_T(x_V, V) > 0$ ;

$$\lim_{N \to \infty} \underline{\operatorname{dens}} \bigcup_{r=0}^{N} (\mathcal{N}_{\mathcal{T}}(x_0, V) - r) = 1 \quad \text{for every } V \text{ open } \neq \emptyset$$

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**Remark 1.** Not very "effective": how to check fffrequent hypercyclicity?? **Remark 2.** If T is "just" frequently hypercyclic, not necessarily invertible, then T admits an invariant measure with full support. In fact, T admits such a measure if and only if the following holds: for every open set  $V \neq \emptyset$ , one can find  $x_V \in X$  such that dens  $\mathcal{N}_T(x_V, V) > 0$ ; and one can replace dens by dens.

$$\lim_{N \to \infty} \underline{\operatorname{dens}} \bigcup_{r=0}^{N} (\mathcal{N}_{\mathcal{T}}(x_0, V) - r) = 1 \quad \text{for every } V \text{ open } \neq \emptyset$$

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# 2. Ergodicity and unimodular eigenvectors

A unimodular eigenvector for  $T \in \mathfrak{L}(X)$ 

A unimodular eigenvector for  $T \in \mathfrak{L}(X)$  is an eigenvector x whose eigenvalue has modulus 1.

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{unimodular eigenvectors for T}

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 $\mathcal{E}(T) := \{ unimodular eigenvectors for T \}$ 

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 $\{x \in \mathcal{E}(T); \lambda(x) \notin D\}$ 



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# Interlude : perfect spanning vs "chaos"

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# $T \in \mathfrak{L}(X)$

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Does not seem to be that strong

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Tempting "conjecture":

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**Tempting "conjecture":** If T is chaotic, *i.e.* hypercyclic with a dense set of periodic points, then  $\mathcal{E}(T)$  is perfectly spanning, so that T is ergodic

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**Tempting "conjecture":** If T is chaotic, *i.e.* hypercyclic with a dense set of periodic points, then  $\mathcal{E}(T)$  is perfectly spanning, so that T is ergodic and hence frequently hypercyclic.

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# 3. A useful parameter

# X Banach space

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c(T) :=



Given a hypercyclic operator  $T \in \mathfrak{L}(X)$ , define

 $c(T) := \overline{\operatorname{dens}} \mathcal{N}_T(x, B_R).$ 

Given a hypercyclic operator  $T \in \mathfrak{L}(X)$ , define

$$c(T) := \sup_{x \in HC(T)} \overline{\operatorname{dens}} \, \mathcal{N}_T(x, B_R).$$

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• Obviously, if T is frequently hypercyclic, then c(T) > 0.

One example of use.

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$$\overline{\mathrm{dens}} \ \mathcal{N}_{\mathcal{T}}(x, B_1) = c(\mathcal{T}) \quad \text{for every } x \in G$$

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Choose an open set  $V \neq \emptyset$ 



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 $\overline{\mathrm{dens}} \, \mathcal{N}_T(x, B_2)$ 

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If  $x \in G$ , then

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## Lemma.

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**Lemma.** For any hypercyclic operator  $T \in \mathfrak{L}(X)$ ,

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**Lemma.** For any hypercyclic operator  $T \in \mathfrak{L}(X)$ , there is a comeager set of vectors  $x \in X$  such that  $||T^i(x)|| \to 0$  as  $i \to \infty$  along some set  $D_x \subseteq \mathbb{N}$  with dens  $D_x \ge c(T)$ .

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Theorem 3.



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**Theorem 3.** If  $T \in \mathfrak{L}(X)$  is ergodic,

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**Q5.** Assume that  $T \in \mathfrak{L}(X)$  is such that  $HC(T) = X \setminus \{0\}$ .

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