

Eigenvalues of minimal Cantor systems

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Frontiers of operator dynamics, CIRM 28 sept.-2oct. 2015

Joint works with :

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- ▶ Alejandro MAASS : CDHM 03, BDM 05, BDM 10, DFM 14, DFM 15
- ▶ Bernard HOST : CDHM 03
- ▶ Xavier BRESSAUD : BDM 05, BDM 10
- ▶ Samuel PETITE : CDP 14
- ▶ Alexander FRANK : DFM 14, DFM 15

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When we do not have the equality, can we precise those eigenvalues in Eig_μ that are in Eig ?

Context : minimal Cantor systems

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(X, T, μ) is ergodic

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- ▶ Dekking 78 : For primitive substitutions of constant length p :

$$Eig = Eig_\mu = \{a/qp^n \mid a \in \mathbb{Z}\}$$

for some q

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- ▶ Downarowicz-Lacroix 96 and Iwanik 96 : There exist Toeplitz subshifts with $Eig \neq Eig_{\mu}$ (for some ergodic measures)
- ▶ Indeed, any countable subgroup of $[0, 1]$ containing infinitely many rationals can be realized as Eig_{μ} of some Toeplitz subshift

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Provide a unified way to tackle these questions and to go further

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- ▶ (Topological) Kakutani-Rohlin partitions
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- ▶ Numeration systems for return times

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Thus $f(x) = \lambda^{r(x)}$ “almost” satisfies $f \circ T = \lambda f(x)$.

We need good sequences of partitions

Kakutani-Rohlin partitions :

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Herman-Putnam-Skau 92

Incidence matrices

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$$H(n) = M(n)H(n-1)(H(1) = M(1))$$

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Examples

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- ▶ Toeplitz subshifts : $H(n) = p_n(1, \dots, 1)^t$ (Gjerde-Johansen 2000)

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Theorem. Let μ be an invariant measure of (X, T) .

$\lambda = \exp(2i\pi\alpha) \in \text{Eig}_\mu(X, T)$ if and only if there exist real functions $\rho_n : \{1, \dots, C(n)\} \rightarrow \mathbb{R}$, $n \in \mathbb{N}$, such that

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Idea of the proof (classical) : Consider $\mathbb{E}_\mu(\lambda^{r_n} | \mathcal{P}(n))$

A NSC to be a continuous eigenvalue

Theorem. (DFM 2015) λ is a continuous eigenvalue of (X, T) if and only if

$$\sum_n \max_{x \in X} |||\langle s_n(x), \alpha H_n \rangle ||| < \infty.$$

Some properties of continuous eigenvalues

Proposition. (Itza-Ortiz 07 and CDHM 03) For all invariant measure μ , Eig is a subgroup of the group G spanned by $\{\mu(U) | U \text{ clopen set}\}$:

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Thus, the only realizable eigenvalue subgroups are \mathbb{Z} and $\mathbb{Z} + \alpha\mathbb{Z}$.

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Corollary.

- ▶ (BDM 10) If λ is a continuous eigenvalue of (X, T) then

$$\sum_n \sup_i |\lambda^{h_i(n)} - 1| < \infty.$$

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- ▶ (BDM 05) If

$$\sum_{m \geq 1} \left(\frac{\sup_{k \in \{1, \dots, C(m+1)\}} h_k(m+1)}{\inf_{k \in \{1, \dots, C(m)\}} h_k(m)} \right) \sup_{k \in \{1, \dots, C(m)\}} |\lambda^{h_k(m)} - 1| < \infty$$

then λ is a continuous eigenvalue of (X, T) .

Numeration for dynamical systems

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Ideas of proof

(1) $\Rightarrow f_n = \mathbb{E}(f|\mathcal{P}(n))$, then with Martingale Theorem

$$\sum_{n=1}^{\infty} \|f_n - f_{n-1}\|_2^2 < \infty$$

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(1) \Leftarrow

Lemma. The sequence of random variables $(\tau_n; n \in \mathbb{N})$ is a non-stationary Markov chain. $(\tau_n(x) = \text{name of the towers including } x \text{ in partition } \mathcal{P}(n))$

Ideas of proof

Lemma. There exist $c \in \mathbb{R}_+$ and $\beta \in [0, 1[$ such that for all $n, k \in \mathbb{N}$, with $k \leq n$,

$$\sup_{1 \leq t \leq C(n-k), 1 \leq \bar{t} \leq C(n)} |\mu[\tau_n = \bar{t} | \tau_{n-k} = t] - \mu[\tau_n = \bar{t}]| \leq c\beta^k .$$

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For $n \geq 1$, define $g_n : X \rightarrow \mathbb{R}$ by

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Using the following decomposition

$$X_n = \langle s_n, P(n)v \rangle - \mathbb{E}_\mu(\langle s_n, P(n)v \rangle) = Y_n + Z_n$$

$$Y_n = \mathbb{E}_\mu(X_n | \mathcal{P}(n)) \text{ and } Z_n = \langle s_n, P(n)v \rangle - \mathbb{E}_\mu(\langle s_n, P(n)v \rangle | \mathcal{P}(n)) .$$

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converges uniformly.

Examples

(X, T, μ) linearly recurrent with $M(n)$, $n \geq 2$ in

$$\left\{ A = \begin{bmatrix} 5 & 2 \\ 2 & 3 \end{bmatrix}, B = \begin{bmatrix} 2 & 1 \\ 1 & 1 \end{bmatrix} \cdot \right\}$$

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For $\limsup a_n/n = \delta$ there are (LR) examples with non trivial eigenvalues, none of them being continuous.

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Proposition (BDM10). For rank d minimal Cantor systems.

$\#\mathcal{M}_{\text{erg}}(X, T) + \max$ number of \mathbb{Q} -independent cont.eig. $\leq d + 1$.